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# COCOMPACT LATTICES

### Grigore Călugăreanu

Department of Mathematics, University "Babeş-Bolyai", str. Kogălniceanu 1, 3400 Cluj, Romania

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Abstract: A lattice L is called cocompact if its dual  $L^0$  is compact. If M is a R-module the lattice  $S_R(M)$  of all the submodules of M is cocompact iff Mis finitely cogenerated. Most of the properties of these modules are proved in the latticial general setting.

## 1. Introduction

A complete lattice L is called *cocompact* if each discover of 0 has a finite subdiscover, i.e. for every subset X of L such that  $\bigwedge X = 0$  there is a finite subset F of X such that  $\bigwedge F = 0$ . Obviously, L is cocompact iff the dual  $L^0$  is compact. An element  $a \in L$  is called *cocompact* if the sublattice a/0 is cocompact.

The following characterization is well-known: a lattice L is artinian iff for each subset A of L there is a finite subset F of A such that  $\bigwedge F = \bigwedge A$ . Hence

Remark 1.1. Every artinian lattice is cocompact.

**Remark 1.2.** If L is a cocompact lattice, for each  $0 \neq a \in L$  the sublattice a/0 is also cocompact.

Our main result is Th. 2.2: Let L be an algebraic lattice. L is cocompact iff the socle s(L) is compact and essential in L.

In the sequel we will use only complete lattices L and the following definitions: a non-zero element e is called *essential* if for every G. Călugăreanu

element  $a \in L$ ,  $a \wedge e = 0$  implies a = 0 and superfluous dually; the socle s(L) of a lattice is defined as the join of all the atoms of L and, dually, the radical r(L) as the meet of all the maximal elements (dual atoms) of L; a lattice L is called *atomic* if for every  $0 \neq a \in L$  the sublattice a/0 contains atoms, inductive if for each  $a \in L$  and every chain  $\{b_i\}_{i \in I}$ ,  $\forall i \in I$ ,  $a \wedge b_i = 0 \Longrightarrow a \wedge (\bigvee_{i \in I} b_i) = 0$  and every sublattice (interval) of L has this property, (R3) if for every  $a \neq 1$ , a essential in L, 1/a contains atoms, reducible if the socle s(L) = 1, and torsion if for each  $a \neq 1, 1/a$  contains atoms (see [1], [2] and [3]). As in [1] we use the following definitions: we say that a set  $\{a_i\}_{i \in I}$  of elements of a lattice is independent if  $a_i \wedge (\bigvee_{j \neq i} a_j) = 0$  for all  $i \in I$ ; in this case we denote the join  $\bigvee_{i \in I} a_i$  by  $\bigoplus_{i \in I} a_i$  and call it the direct sum (join). For all the notions (such as: compact element, essential, pseudocomplement in a lattice and algebraic, artinian, pseudocomplement of [4], [5] and [6].

#### 2. Results

**Lemma 2.1.** Let a be an essential element of a lattice L. If a/0 is cocompact then L is also cocompact.

**Proof.** Let  $\{a_i\}_{i \in I}$  be a family of non-zero elements of L such that  $\bigwedge_{i \in I} a_i = 0$ . The element a being essential in L, we have  $a \land a_i \neq 0$  and

 $0 = a \land \left(\bigwedge_{i \in I} a_i\right) = \bigwedge_{i \in I} (a \land a_i). \text{ Hence } \{a \land a_i\}_{i \in I} \text{ is a discover of } 0 \text{ in } a/0, \text{ and } a/0 \text{ being cocompact there is a finite subset } F \subseteq I \text{ such that } 0 = \bigwedge (a \land a_i) = a \land \left(\bigwedge a_i\right). \text{ Finally } a \text{ being cocontial } \land a_i = 0$ 

 $0 = \bigwedge_{i \in F} (a \wedge a_i) = a \wedge \left(\bigwedge_{i \in F} a_i\right).$  Finally, *a* being essential,  $\bigwedge_{i \in F} a_i = 0$ and *L* is cocompact.  $\diamond$ 

**Lemma 2.2.** In an algebraic, modular, reducible lattice the radical r(L) = 0.

**Proof.** We verify that for each atom  $s, s \wedge r(L) = 0$  (this suffices in a reducible lattice, which is also atomic). Reducible, inductive lattices being complemented (each algebraic lattice is upper continuous, each upper continuous lattice is inductive), let m be a complement of s. Using modularity, one easily proves that m is maximal in L. Hence  $s \wedge m = 0$ 

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implies  $s \wedge \mathbf{r}(L) = 0. \diamondsuit$ 

**Lemma 2.3.** In an algebraic cocompact lattice L the socle s(L) is essential in L (more can be proved; see the last theorem).

**Proof.** Let  $a \in L$  be such that  $s(L) \wedge a = 0$  or, equivalently, s(a/0) = 0. The sublattice a/0 being algebraic, the socle is also the join of all the essential elements (of a/0) and so, being cocompact  $0 = \bigwedge_{i \in F} e_i$  for a

finite family of essential elements  $\{e_i\}_{i \in F}$  of a/0. Hence 0 is essential in a/0 and so a = 0.

**Remark 2.1.** In every atomic lattice the socle is essential. If the lattice L is inductive then the converse is also true. Indeed, if  $a \neq 0$  then  $0 \neq s(L) \land a \in s(L)/0$  an inductive and reducible lattice. Using Th. 9.2 from [1], each element of L is a direct sum of atoms. Hence a/0 contains atoms.

So, cocompact algebraic lattices are atomic. Moreover, one can prove that algebraic cocompact (R3) lattices are torsion lattices (cf.[2]). **Proposition 2.1.** A lattice L is artinian iff for every  $a \neq 1$  the sublattice 1/a is cocompact.

**Proof.** Each sublattice of an artinian lattice is clearly artinian and so, by the Remark 1.1, is cocompact. Conversely, let  $\ldots \leq a_n \leq \ldots \leq a_2 \leq a_1$  be an ascending chain of elements in L. If  $a = \bigwedge_{n \in \mathbb{N}} a_n$  then

 $\{a_n\}_{n\in\mathbb{N}}$  is surely a discover of a in 1/a. The sublattice 1/a being cocompact there is a finite subset  $F \subset \mathbb{N}$  such that  $a = \bigwedge_{\substack{n \in F}} a_n$ . Hence

 $a = a_m$  where  $m = \min(F)$  and  $a_{m+l} = a_m$  for each  $l \in \mathbb{N}$ , so the chain is finite and L is artinian.  $\diamond$ 

**Proposition 2.2.** If for an element a of an modular inductive lattice L the sublattices a/0 and 1/a are cocompact then the lattice L is cocompact.

**Proof.** If a = 0 nothing remains to be proved. If  $a \neq 0$  let 0 = $= \bigwedge_{i \in I} b_i$  a discover of 0 in L. Then  $\bigwedge_{i \in I} (a \wedge b_i) = a \wedge (\bigwedge_{i \in I} b_i) = a \wedge$  $\wedge 0 = 0$ , is a discover of 0 in a/0. By cocompacity, there is a finite subset F of I such that  $0 = \bigwedge_{i \in F} (a \wedge b_i) = a \wedge (\bigwedge_{i \in F} b_i)$ . If  $\bigwedge_{i \in F} b_i =$ = 0 (e.g. if a is essential in L) the proof is complete. If  $\bigwedge_{i \in F} b_i \neq 0$ then let c be a pseudocomplement of a which contains  $\bigwedge_{i \in F} b_i$ . We have  $\bigwedge_{i \in F} b_i \in c/0 = c/(a \wedge c) \cong (a \vee c)/a \subseteq 1/a$  (the isomorphism is given

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by modularity). The sublattice 1/a being cocompact,  $(a \vee c)/a$  and hence c/0 are also cocompact.  $0 = \bigwedge_{i \in I} (c \wedge b_i)$  being a discover of 0 in c/0 there is a finite subset G of I such that  $0 = \bigwedge_{i \in G} (c \wedge b_i) = c \wedge$  $\wedge (\bigwedge_{i \in G} b_i)$ . Now, for  $b = \bigwedge_{i \in F \cup G} b_i$  we have  $b \leq \bigwedge_{i \in F} b_i \leq c$  and  $c \wedge b \leq c \wedge$  $\wedge (\bigwedge_{i \in G} b_i) = 0$  so that b = 0, and we have the required finite discover of 0.  $\diamond$ 

This is a purely laticial proof which avoids the injective hull, a non-latticial notion (see [7]).

**Consequence 2.1.** A direct sum of cocompact elements in an inductive modular lattice is cocompact.

**Proof.** If a/0, b/0 are cocompact and  $a \oplus b = 1$  (b is a complement of a) then by modularity  $b/0 = b/(a \wedge b) \cong (a \vee b)/a = 1/a$  and we use the previous Prop. 2.2.  $\diamond$ 

**Proposition 2.3.** Let L be an algebraic cocompact lattice with the radical r(L) = 0. Then L is reducible and compact.

**Proof.** From the third lemma we already know that L is atomic. The lattice L being algebraic the radical is also the union of all the superfluous elements. Hence the condition r(L) = 0 implies that the only superfluous element of L is 0. Equivalently, for each  $0 \neq a \in L$  there is an  $x \neq 1$  such that  $a \lor x = 1$ . In particular, each atom has a complement (maximal if L is also modular). Indeed, if s is an atom, as mentioned, there is an  $m \neq 1$  such that  $s \lor m = 1$ . But  $s \land m \in \{0, s\}$  and  $s \land m = s$  implies  $s \leq m$  or m = 1. Hence  $s \land m = 0$  and s has a complement.

Now if the socle  $s(L) \neq 1$  then let  $x \neq 1$  be such that  $s(L) \lor x = 1$  $(L \neq 0 \text{ atomic implies } s(L) \neq 0)$ . One gets an atom which would not be contained in s(L), contradiction. Hence L is reducible.

Finally, L being cocompact, the radical r(L), which is the intersection of the maximal elements, and so is a discover of 0, must give a finite subdiscover of 0 by, say n maximal elements. The compacity of L follows now by induction on n. One verifies that each cover of 1 has a finite subcover. The dual analogon of this proof is detailed in the proof of the next theorem.  $\diamond$ 

**Theorem 2.1.** Let L be an algebraic, reducible and modular lattice. Then the following conditions are equivalent: (a) L is compact; (b) L is cocompact; (c) 1 is a finite direct sum of atoms.

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**Proof.** (a)  $\Longrightarrow$  (c): L being reducible and inductive we have  $1 = \bigoplus_{i \in I} s_i$ , with  $s_i$  atoms (see [1]). But  $\{s_i\}_{i \in I}$  is a cover for 1, compact element, so a finite subset  $F \subseteq I$  exists such that  $1 = \bigoplus_{i \in F} s_i$ .

(c)  $\Longrightarrow$  (b): If  $\bigoplus_{i=1}^{n} s_i = 1$  we prove that every discover of  $0 = \bigwedge_{i \in I} a_i$ 

has a finite subdiscover by induction on n. If n = 1 the assertion is obvious. We assume that the assertion is true for each lattice such that 1 is a direct sum of at most n - 1 atoms. First, observe that there is a  $k \in I$  such that  $a_k \wedge s_n = 0$ . Indeed, otherwise  $a_i \wedge s_n = s_n$  for every  $i \in I$  or  $s_n \leq \bigwedge_{i \in I} a_i$ , contradiction. The element  $a_k$  is also a direct sum of at most n - 1 atoms (the modularity is needed for the use of the Jordan-Hölder theorem). By the induction hypothesis a finite subset of the family  $\{a_i \wedge a_k\}_{i \in I}$  has the intersection 0. Hence L is

cocompact. (b)  $\implies$  (a) follows from Lemma 2.2 (which assures r(L) = 0) and Prop. 2.3.  $\diamond$ 

**Remark 2.2.** The implication  $(c) \Longrightarrow (a)$  follows easily: in an upper continuous lattice every atom is compact and finite unions of compact elements are compact.

**Theorem 2.2.** Let L be an algebraic lattice. Then L is cocompact iff the socle s(L) is compact and essential in L.

**Proof.** If L is cocompact and  $a \neq 0$  then clearly a/0 is also cocompact. Hence the sublattice s(L)/0 is cocompact and reducible. By Th. 2.1 a/0 is also compact, i.e. s(L) is compact in L. The essentialness follows from Lemma 2.3. Conversely, if s(L) is compact then s(L)/0 is reducible and compact and hence cocompact, again by the above theorem. The socle s(L) being also essential in L, L is cocompact by Lemma 2.1.  $\diamond$ 

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