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## STRICTLY ORDER-PRESERVING MAPS INTO $\mathbb{Z}$ , I

A PROBLEM OF DAYKIN FROM THE 1984 BANFF CONFERENCE ON GRAPHS AND ORDER

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**Abstract:** Let  $S$  be a subset of a poset  $P$ , and let  $g : S \rightarrow \mathbb{Z}$  be a strictly order-preserving map into the linearly ordered set of integers. Necessary and sufficient conditions are found for there to be a strictly order-preserving map  $\Psi : P \rightarrow \mathbb{Z}$  extending  $g$ . This solves a problem of Daykin from the 1984 Banff Conference on Graphs and Order. In 1985, Daykin and Daykin asked for a solution to the extension problem both for the case where  $g$  and  $\Psi$  are strictly order-preserving maps – which is settled in this note – and for the case where  $g$  and  $\Psi$  are one-to-one order-preserving maps – which remains unsettled, but regarding which the following is shown in the present work:

Let  $P$  be a poset and  $S$  a subset such that  $P$  is the convex hull of  $S$ . Let  $g : S \rightarrow \mathbb{Z}$  be an injective order-preserving map. Necessary and sufficient conditions are found for when  $g : S \rightarrow \mathbb{Z}$  has an injective order-preserving extension  $\Psi : P \rightarrow \mathbb{Z}$  to all of  $P$ . The task of trying to prove this theorem was set by Skilton in 1985.

## 1. Motivation

Let  $P$  and  $Q$  be posets. A function  $f : P \rightarrow Q$  is *strictly order-preserving* if whenever  $p, p' \in P$  and  $p < p'$ , then  $f(p) < f(p')$ .

Suppose  $P$  is a poset,  $S$  a subset and  $g : S \rightarrow \mathbb{Z}$  a strictly order-preserving map from  $S$  into the linearly ordered set of integers. At the 1984 Banff Conference on Graphs and Order, David Daykin, of the celebrated Ahlswede–Daykin “Four Functions” Theorem [1], asked for necessary and sufficient conditions (assuming  $P$  is countable and locally finite) for there to exist a strictly order-preserving map  $\Psi : P \rightarrow \mathbb{Z}$  extending  $g$ .

We solve this problem without any cardinality assumptions (Th. 4.12).

If  $g$  is in addition one-to-one, Daykin asked for necessary and sufficient conditions guaranteeing that  $g$  has an extension to  $P$  that is also one-to-one. (See [3], Problem 8.1 and [8], pp. 532–533. While in [8], p. 532, Daykin says, “There are really two problems here. . .,” note that in [3], the two questions were posed as a single problem and also posed “for  $P$  countably infinite and for  $P$  noncountably infinite.” Also note that Daykin’s terminology is different than ours.<sup>1</sup>)

If  $\ell_P[p, q]$  is the length of the interval  $[p, q]$  in the poset  $P$  (the cardinality of the largest chain in the interval, minus 1), then an obvious necessary condition is that every interval must have finite length, and that, moreover, for all  $s, t \in S$  such that  $s < t$

$$\ell_P[s, t] \leq |g(t) - g(s)|.$$

Daykin and Daykin proved that this condition is sufficient for finite  $P$  for the first problem.

If we assume that  $g$  is injective, and we wish to extend it to an injective order-preserving map, we might first ask: When is it the case that there exists *some* injective order-preserving map from  $P$  to  $\mathbb{Z}$ ? Skilton [9], Th. 1 has shown that such a map exists if and only if  $P$  is

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<sup>1</sup>Daykin [8], p. 532 refers to “strict order-preserving maps” and “arbitrary order-preserving maps,” whereas Daykin and Daykin [3] use the terms “order preserving injection” and “order preserving map,” specifying that, by the latter expression, they mean a “strict order preserving” map, adding, “[W]e omit the word strict.” The term “locally finite” is not defined in [8], p. 532.

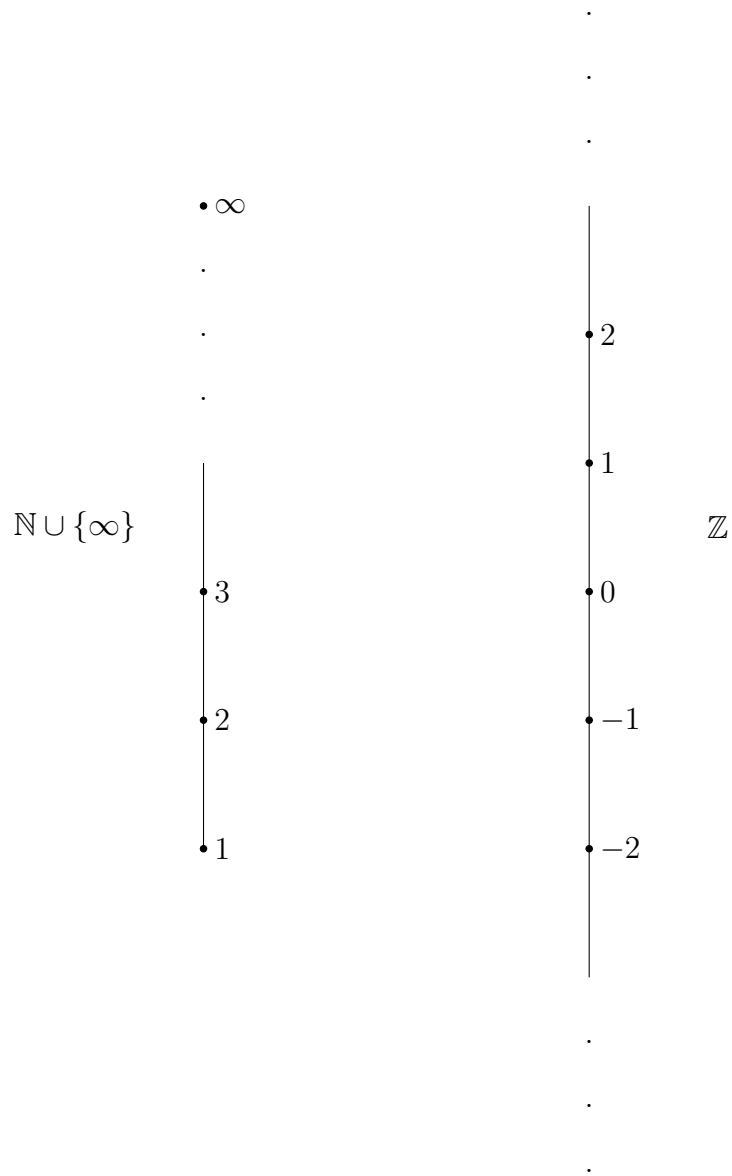


Figure 1.1. A poset that admits no injective order-preserving map into  $\mathbb{Z}$

countable and every interval is finite. (For example, there is no injective order-preserving map from the chain  $\mathbb{N} \cup \{\infty\}$  to  $\mathbb{Z}$ ; see Fig. 1.1.)

There are other necessary conditions: Suppose  $s, s' \in S$  and  $s \leq s'$ . Then if an extension  $\Psi : P \rightarrow \mathbb{Z}$  of  $g : S \rightarrow \mathbb{Z}$  exists, every element of the interval  $[s, s']$  in  $P$  must go to a different element of the interval  $[g(s), g(s')]$  of  $\mathbb{Z}$ . So we must have  $|[s, s']| \leq |[g(s), g(s')]|$ .

In general, we must have

$$\left| \bigcup_{\substack{v, v' \in V \\ v \leq v'}} [v, v'] \right| \leq \left| [\min_{v \in V} g(v), \max_{v \in V} g(v)] \right|$$

for all finite  $V \subseteq S$ .

Daykin and Daykin proved that, if  $P$  is finite, this condition is also sufficient [3], Th. 8.1. Skilton proved that the condition is still sufficient [9], Th. 3 even if  $P$  is infinite, provided that  $S$  is finite. (We are assuming, of course, that  $P$  can be mapped injectively into  $\mathbb{Z}$  in an order-preserving fashion.)

**Example 1.1.** Let  $P$  be the poset  $\{a, b, c, x, y, u, v\}$  where  $a, u < x < v, b; u < c < v, y; y < b$ ; and the only other comparabilities are the necessary ones (Fig. 1.2). Let  $S = \{a, b, c\}$ .

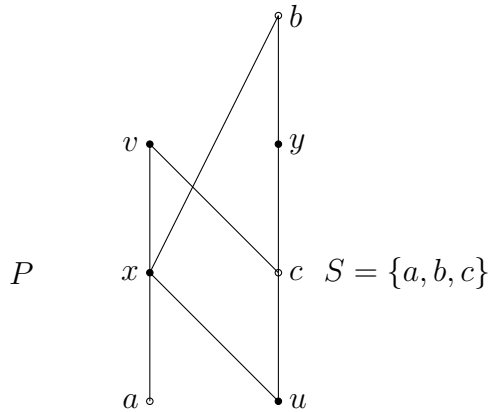


Figure 1.2. The poset  $P$  and the subset  $S$

Suppose  $g : S \rightarrow \mathbb{Z}$  is given by  $g(a) = -1$ ,  $g(b) = 3$ , and  $g(c) = 0$  (Fig. 1.3). Then  $g : S \rightarrow \mathbb{Z}$  does have an injective order-preserving

extension; for example,  $\Psi(x) = 1$ ,  $\Psi(y) = 2$ ,  $\Psi(u) = -666$ , and  $\Psi(v) = 42$  (Fig. 1.4).

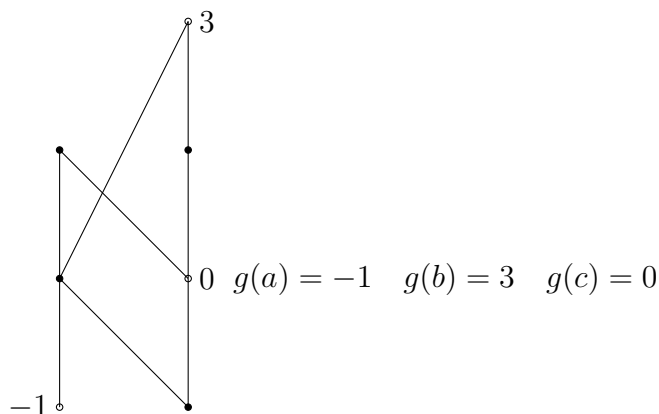


Figure 1.3. A partial injective map from  $P$  to  $\mathbb{Z}$

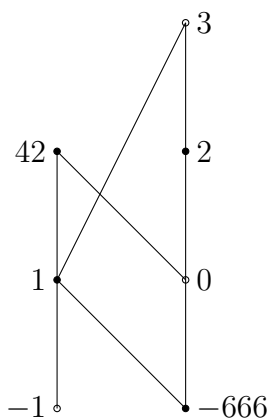
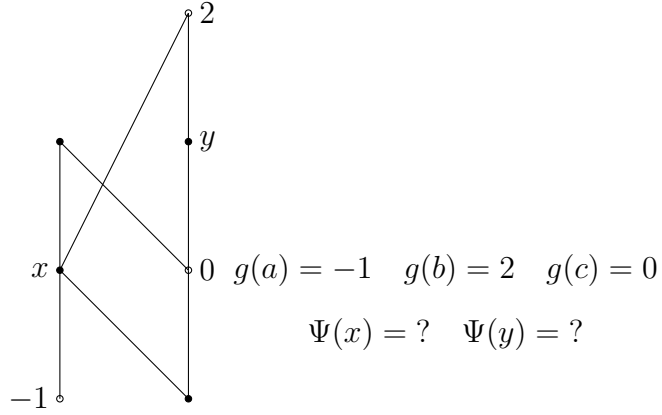


Figure 1.4. An injective order-preserving extension

$\Psi : P \rightarrow \mathbb{Z}$  of the map of Figure 1.3

On the other hand, if  $g : S \rightarrow \mathbb{Z}$  is given by  $g(a) = -1$ ,  $g(b) = 2$ , and  $g(c) = 0$  (Fig. 1.5), then  $g : S \rightarrow \mathbb{Z}$  has *no* injective order-preserving extension  $\Psi : P \rightarrow \mathbb{Z}$ : It is easy to see that such a map  $\Psi : P \rightarrow \mathbb{Z}$  must send both  $x$  and  $y$  to 1.

Figure 1.5. Another partial injective map from  $P$  to  $\mathbb{Z}$ 

We can also use the Daykin–Daykin criterion: Letting  $V = S$ , we see that

$$\bigcup_{\substack{v, v' \in V \\ v \leq v'}} [v, v']$$

has 5 elements ( $\{a, b, c, x, y\}$ ), but

$$[\min_{v \in V} g(v), \max_{v \in V} g(v)] = [-1, 2]$$

has only 4.

As an initial step towards the solution of the general extension problem, Skilton proposed tackling the case where the entire poset is the convex hull of  $S$ , that is,

$$P = \bigcup_{\substack{s, s' \in S \\ s \leq s'}} [s, s'].$$

We prove that, in this case, the same conditions used above are both necessary and sufficient (Th. 5.3).

## 2. Definitions and notation

See [2] for definitions, notation, and basic results.

Let  $\mathbb{N}_0 := \{0, 1, 2, \dots\}$  and let  $2\mathbb{Z}$  denote the set of even integers

(and  $\mathbb{Z} \setminus 2\mathbb{Z}$  the set of odd integers). If  $S$  is a set, let  $|S|$  denote the cardinality of  $S$ . Given sets  $T$  and  $U$  and a function  $f : T \rightarrow U$ , let  $f[T] = \{ f(t) \mid t \in T \}$ .

Let  $P$  be a poset. Given  $p \in P$ , let  $\downarrow p = \{ q \in P \mid q \leq p \}$  and let  $\uparrow p = \{ q \in P \mid p \leq q \}$ . Given  $Q \subseteq P$ , let

$$\downarrow Q = \bigcup_{q \in Q} \downarrow q$$

and let

$$\uparrow Q = \bigcup_{q \in Q} \uparrow q;$$

if  $p \in P$ , let  $\downarrow_Q p = Q \cap \downarrow p$  and let  $\uparrow_Q p = Q \cap \uparrow p$ ; we also define  $\downarrow_Q R$  and  $\uparrow_Q R$  for a subset  $R \subseteq P$ . For  $p, q \in P$  with  $p \leq q$ , the *interval*  $[p, q]$  is the set  $\uparrow p \cap \downarrow q$ . A poset is *locally chain-bounded* if every interval has finite length. (If a poset has a strictly order-preserving map into  $\mathbb{Z}$ , it must be locally chain-bounded.) A poset is *locally finite* if every interval is finite [10], p. 98. Given  $Q \subseteq P$ , the *convex hull* of  $Q$  is the set

$$\overline{Q} = \uparrow Q \cap \downarrow Q = \bigcup_{\substack{q, q' \in Q \\ q \leq q'}} [q, q'].$$

(We will only use this notation for the convex hull in §5.) A subset  $S$  of  $P$  is *convex* if  $[p, q] \subseteq S$  for all  $p, q \in S$  such that  $p \leq q$ . Note that  $Q$  is a convex subset of  $P$  if and only if  $Q = \overline{Q}$ . Skilton calls a subset  $Q \subseteq P$  *dense* if  $P = \overline{Q}$ . (This is different from some other uses of the word “dense” in the literature.)

A maximal antichain  $A \subseteq P$  is *separating* if, for all  $p \in \downarrow A$  and  $p' \in \uparrow A$ , there exists  $a \in [p, p'] \cap A$  whenever  $p \leq p'$ .

For  $p, q \in P$ , we write  $p \triangleleft q$  if  $p < q$  and there is no element of  $P$  strictly between  $p$  and  $q$ .

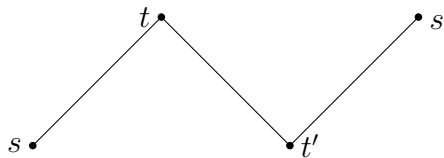
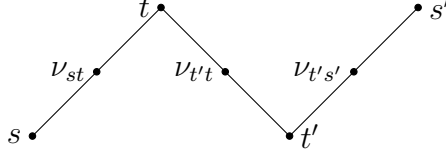


Figure 2.1. The poset  $Q$

Figure 2.2. The poset  $\nu(Q)$ 

Given a locally chain-bounded poset  $Q$ , let  $\nu(Q)$  be a new poset consisting of  $Q$  and the elements

$$\{ \nu_{qr} \mid q, r \in Q \text{ and } q \leq r \},$$

where, for all  $q, r \in Q$  such that  $q < r$ , we have  $q < \nu_{qr} < r$ , and no other comparabilities hold but the necessary ones. (See Figures 2.1 and 2.2.)

**Remark.** The diagram for  $\nu(Q)$  is just a subdivision of the diagram for  $Q$ . Compare this with the construction in the proof of [6], Th. 7.

Let  $P$  be a poset. Let  $S \subseteq P$ . Let  $p \in P$ . Let  $g : S \rightarrow \mathbb{Z}$  be a function. Define

$$\begin{aligned} {}_g p_P &= \sup\{ g(s) + \ell_P[s, p] \mid s \in \downarrow_S p \} \in \mathbb{Z} \cup \{-\infty, \infty\}, \\ p_P^g &= \inf\{ g(s) - \ell_P[p, s] \mid s \in \uparrow_S p \} \in \mathbb{Z} \cup \{-\infty, \infty\}. \end{aligned}$$

If the poset in which  ${}_g p_P$  and  $p_P^g$  are being calculated is understood, we will write  ${}_g p$  and  $p^g$ , respectively.

Let  $\text{Min}P$  and  $\text{Max}P$  denote the sets of minimal and maximal elements of a poset  $P$ , respectively. A poset in which every element is minimal or maximal is called *bipartite*. A *braid* is obtained from a bipartite poset  $P$  such that  $\text{Min}P \cap \text{Max}P = \emptyset$  by replacing every edge with a finite chain of positive length.

**Example 2.1.** Let  $P := \{a, b, c, d\}$  be the bipartite poset such that  $a, b < c, d$  with no other non-trivial comparabilities (Fig. 2.3).

Let  $P' := \{a, b, c, d, x, y, z\}$  be the braid in which  $a < x < c$ ;  $a < d$ ;  $b < c$ ; and  $b < y < z < d$ , with no other non-trivial comparabilities (Fig. 2.4).

Let  $P$  be a braid. For all  $p \in P$ , let  $\ell_P^p : \text{Min}P \rightarrow \mathbb{N}_0$  be the partial function defined for all  $m \in \text{Min}P$  by



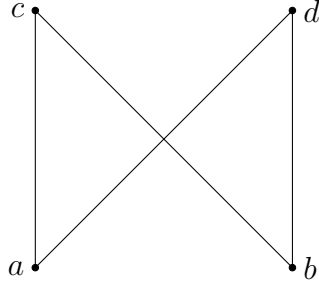


Figure 2.3. The bipartite poset  $P$

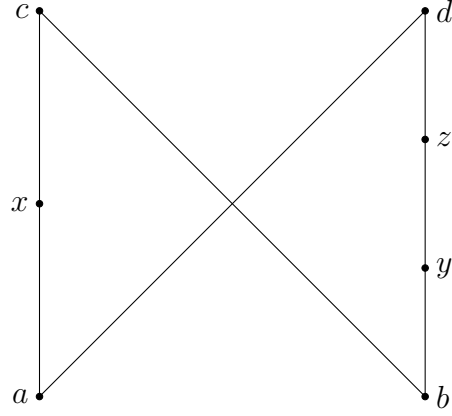


Figure 2.4. The braid poset  $P'$

$$\ell_P^p(m) := \begin{cases} \ell_P[m, p] & \text{if } m \leq p, \\ \text{undefined} & \text{otherwise.} \end{cases}$$

Let  $C$  be a set. Let  $f : C \rightarrow \mathbb{N}_0$  be a partial function with domain  $\text{Dom}f$  and let  $g : C \rightarrow \mathbb{N}_0$  be a function. We say  $f$  dominates  $g$  if  $\sup\{f(c) - g(c) \mid c \in \text{Dom}f\} = \infty$ .

Let  $\mathcal{B}$  be the class of braid posets  $P$  such that, for all  $g : \text{Min}P \rightarrow \mathbb{N}_0$ , there exists  $p \in P$  for which  $\ell_P^p$  dominates  $g$ .

**Example 2.2** (B. S. W. Schröder, personal communication). Let  $B$  be a braid poset with minimal elements  $\{x_n \mid n \in \mathbb{N}_0\}$  and maximal elements  $\{y_f \mid f : \mathbb{N}_0 \rightarrow \mathbb{N}_0\}$ .

For  $n \in \mathbb{N}_0$  and  $f : \mathbb{N}_0 \rightarrow \mathbb{N}_0$ , suppose  $x_n < y_f$  and let  $[x_n, y_f]$  be a chain of length  $f(n) + 1$ . Let no other non-trivial comparabilities hold. Clearly  $B \in \mathcal{B}$ , for every  $g : \text{Min}B \rightarrow \mathbb{N}_0$  may be interpreted as a function  $g' : \mathbb{N}_0 \rightarrow \mathbb{N}_0$  which is dominated by  $f(n) := g'(n) + n$ . Clearly  $\ell_P^{y_f}$  dominates  $g$ .

### 3. Posets that admit a strictly order-preserving map into $\mathbb{Z}$

In our solution to the extension problem for strictly order-preserving maps, we require the assumption that the poset  $P$  have some strictly

order-preserving map into  $\mathbb{Z}$ . We do not have a nice characterization of such posets, but we show that there is a class  $\mathcal{B}$  of simple posets that do not admit such maps, and any poset that does not admit such a map must be related in a clear way to a poset in  $\mathcal{B}$ . Ways in which our results ought to be improved will be suggested at the end of the section.

**Lemma 3.1.** *Let  $Q$  be a locally chain-bounded poset. Then  $P := \nu(Q)$  is locally chain-bounded and every maximal antichain of  $P$  is separating.*

**Proof.** Clearly  $P$  is locally chain-bounded. Let  $A$  be a maximal antichain of  $P$ . Assume that  $p \in \downarrow A$ ;  $p' \in \uparrow A$ ; and  $p < p'$ . Suppose for a contradiction that  $A \cap [p, p'] = \emptyset$ .

Choose  $r \in P$  maximal in  $\downarrow A \cap [p, p']$ . Choose  $s \in P$  minimal in  $\uparrow A \cap [r, p']$ . Then  $r < s$ .

If it is false that  $r \lessdot s$ , then there exists  $t \in P$  such that  $r < t \lessdot s$ . Hence  $t \notin \uparrow A$ , so that  $t \in \downarrow A$  by the maximality of  $A$ , contradicting the maximality of  $r$ . Therefore  $r \lessdot s$ .

Either  $r \in P \setminus Q$  or  $s \in P \setminus Q$ , so that either  $r \in A$  or  $s \in A$ , and  $A \cap [p, p'] \neq \emptyset$  after all.  $\diamond$

**Lemma 3.2.** *Let  $C$  be a set and  $g : C \rightarrow \mathbb{N}_0$  a function such that*

$$\sup\{g(c) \mid c \in C\} = \infty.$$

*Define  $f : C \rightarrow \mathbb{N}_0$  by  $f(c) := 2^{g(c)}$  for all  $c \in C$ .*

*Then  $f$  dominates  $g$ .*

Here is another characterization of the braids in the class  $\mathcal{B}$ .

**Lemma 3.3.** *Let  $P$  be a braid poset. The following are equivalent:*

- (1)  $P \in \mathcal{B}$ ;
- (2) *For all  $g : \text{Min}P \rightarrow \mathbb{N}_0$ , there exist  $p \in \text{Max}P$  and a countably infinite set  $C \subseteq \text{Dom}\ell_P^p$  such that  $g(c) \leq \ell_P^p(c)$  for all  $c \in C$  and*

$$\sup_{c \in C} \ell_P^p(c) = \infty.$$

**Proof.** Assume (2). Given  $g : \text{Min}P \rightarrow \mathbb{N}_0$ , let  $p \in P$  be such that there exists a countably infinite  $C \subseteq \text{Dom}\ell_P^p$  where  $2^{g(c)} \leq \ell_P^p(c)$  for all  $c \in C$  and  $\sup_{c \in C} \ell_P^p(c) = \infty$ . Then  $\sup_{c \in C} \{\ell_P^p(c) - g(c)\} = \infty$ .  $\diamond$

**Lemma 3.4** (cf. B. S. W. Schröder). *Let  $Q$  be a poset such that  $Q = \uparrow \text{Min}Q$ . The following are equivalent:*

- (1) *There exists a strictly order-preserving map  $\Phi : Q \rightarrow \mathbb{Z}$ .*
- (2) *There exists a map  $g : \text{Min}Q \rightarrow \mathbb{Z}$  such that, for all  $q \in Q$ ,  ${}_gq < \infty$ .*

*In case (2), we may define  $\Phi : Q \rightarrow \mathbb{Z}$  for all  $q \in Q$  by  $\Phi(q) := {}_gq$ ; in case (1), we may define  $g : \text{Min}Q \rightarrow \mathbb{Z}$  by  $g := \Phi \upharpoonright \text{Min}Q$ .*

**Lemma 3.5.** *Let  $P$  be a braid. If  $\Phi : P \rightarrow \mathbb{Z}$  is a strictly order-preserving map, then there exists a strictly order-preserving map  $\Psi : P \rightarrow \mathbb{Z}$  such that  $\Psi[\text{Min}P] \subseteq \{-1, -2, -3, \dots\}$  and  $\Psi[\text{Max}P] \subseteq \{1, 2, 3, \dots\}$ .*

**Corollary 3.6.** *Let  $P \in \mathcal{B}$ . Then there is no strictly order-preserving map from  $P$  to  $\mathbb{Z}$ .*

**Proof.** The corollary follows from Lemma 3.4(1) and Lemma 3.5.  $\diamond$

The following lemma is essentially due to B. S. W. Schröder.

**Lemma 3.7.** *Let  $Q$  be a poset. Let  $A \subseteq Q$  be a separating antichain. The following are equivalent:*

- (1) *There exists a strictly order-preserving map  $\Phi : Q \rightarrow \mathbb{Z}$ .*
- (2) *There exists a map  $g : A \rightarrow \mathbb{Z}$  such that  ${}_gq < \infty$  if  $q \in \uparrow A$ , and  $q^g > -\infty$  if  $q \in \downarrow A$ .*

*In case (2), for all  $q \in Q$ , let*

$$\Phi(q) = \begin{cases} {}_gq & \text{if } q \in \uparrow A, \\ q^g & \text{if } q \in \downarrow A. \end{cases}$$

*In case (1), let  $g := \Phi \upharpoonright A$ .*

**Lemma 3.8.** *Let  $Q$  be a poset. Let  $A \subseteq Q$  be a separating antichain. Define a new poset  $P$  as follows. Let  $P$  have  $\uparrow A$  as a subposet; order  $D := Q \setminus \uparrow A$  as an antichain. For all  $a \in A$ ,  $d \in D$  such that  $d \leq a$ , add a chain from  $d$  to  $a$  of length  $\ell_Q[d, a]$  whose elements (except for the endpoints) are disjoint from the rest of the poset; if  $\ell_Q[d, a] = \infty$ , let this chain (minus the endpoints) be order-isomorphic to the poset of negative integers.*

*The following are equivalent:*

- (1) *There exists a strictly order-preserving map  $\Phi : Q \rightarrow \mathbb{Z}$ .*
- (2) *There exists a strictly order-preserving map  $\Psi : P \rightarrow \mathbb{Z}$ .*

**Lemma 3.9.** *Let  $Q$  be a poset. Let  $p, q \in Q$  be such that  $\ell[p, q] = \infty$ . Then there exist  $B \in \mathcal{B}$  and a strictly order-preserving map  $\Psi : B \rightarrow Q$ . Moreover, there is no strictly order-preserving map from  $Q$  to  $\mathbb{Z}$ .*

**Proof.** Take any  $B \in \mathcal{B}$  (for instance, the braid of Ex. 2.2) and send the minimal elements to  $p$ , the maximal elements to  $q$ , and the internal chains to chains in  $[p, q]$  that are long enough.  $\diamond$

**Proposition 3.10.** *Let  $Q$  be a poset with a separating antichain. The following are equivalent:*

- (1) *There is no strictly order-preserving map from  $Q$  to  $\mathbb{Z}$ .*
- (2) *For some  $B \in \mathcal{B}$ , there exists a strictly order-preserving map  $\Psi : B \rightarrow Q$ .*

**Proof.** Cor. 3.6 shows that (2) implies (1). Now assume (1). If  $\ell[p, q] = \infty$  for some  $p, q \in Q$  with  $p \leq q$ , use Lemma 3.9. Otherwise, form the poset  $P$  of Lemma 3.8. Now, considering  $\text{Min}P = D \cup (A \cap \text{Min}Q)$  as the separating antichain in Lemma 3.7, we see that for any function  $g : \text{Min}P \rightarrow \mathbb{Z}$ , there exists  $p \in P$  such that  ${}_g p_P = \infty$ ; it is clear that  $p \in (\uparrow_Q A) \setminus \text{Min}Q$ . Also, for all  $q \in (\uparrow_Q A) \setminus \text{Min}Q$ ,  ${}_g q_P \leq {}_g q_Q$ . Now form the braid  $B$  such that

$$\text{Min}B = \text{Min}P \quad \text{and} \quad \text{Max}B = Q \setminus \text{Min}P,$$

and for  $m \in \text{Min}B$ ,  $n \in \text{Max}B$  such that  $m \leq_Q n$ , there is a chain of length  $\ell_Q[m, n]$ . If  $f : \text{Min}B \rightarrow \mathbb{N}_0$ , let  $g := -f$ . Then there exists  $p \in \text{Max}B$  and a countably infinite set  $C \subseteq \text{Dom} \ell_B^p$  such that

$$\sup_{c \in C} \{g(c) + \ell_Q[c, p]\} = \infty,$$

so without loss of generality  $\ell_B[c, p] - f(c) \geq 0$  and  $\sup_{c \in C} \ell_B[c, p] = \infty$ . By Lemma 3.3,  $B \in \mathcal{B}$ . Clearly there is a strictly order-preserving map from  $B$  to  $Q$ .  $\diamond$

**Lemma 3.11.** *Let  $Q$  be a locally chain-bounded poset. The following are equivalent:*

- (1) *For some  $C \in \mathcal{B}$ , there exists a strictly order-preserving map  $\Phi : C \rightarrow Q$ .*
- (2) *For some  $B \in \mathcal{B}$ , there exists a strictly order-preserving map  $\Psi : B \rightarrow \nu(Q)$ .*

**Proof.** Assume (2). Without loss of generality  $\Psi[\text{Max}B], \Psi[\text{Min}B] \subseteq Q$ . Construct the braid  $C$  by letting  $\text{Min}C := \text{Min}B$  and  $\text{Max}C := \text{Max}B$ , only remove every other node of the “internal” chains. Each chain of  $C$  is therefore at least half the size of the corresponding chain of  $B$ . The map  $\Phi$  can then be constructed using  $\Psi$  so that  $\Phi[C] \subseteq Q$ . Lemma 3.3 tells us that  $C \in \mathcal{B}$ .  $\diamond$

**Lemma 3.12.** *Let  $Q$  be a locally chain-bounded poset. Let  $P := \nu(Q)$ . The following are equivalent:*

- (1) *There exists a strictly order-preserving map  $\Phi : Q \rightarrow \mathbb{Z}$ .*
- (2) *There exists a strictly order-preserving map  $\Psi : P \rightarrow \mathbb{Z}$ .*

*Moreover, we can assume  $\Psi[Q] \subseteq 2\mathbb{Z}$  and  $\Psi[P \setminus Q] \subseteq \mathbb{Z} \setminus 2\mathbb{Z}$ .*

**Proof.** Assume (1). We may assume  $\Phi[Q] \subseteq 2\mathbb{Z}$ . Then, for all  $p, q \in Q$  such that  $p \prec q$  in  $Q$ , we may let  $\Psi(p) = \Phi(p)$  and  $\Psi(\nu_{pq}) = \Phi(p) + 1$ .  $\diamond$

**Theorem 3.13.** *Let  $P$  be a poset. The following are equivalent:*

- (1) *There is no strictly order-preserving map from  $P$  to  $\mathbb{Z}$ .*
- (2) *For some  $B \in \mathcal{B}$  there exists a strictly order-preserving map  $\Psi : B \rightarrow P$ .*

**Proof.** If  $P$  is not locally chain-bounded, use Lemma 3.9. Otherwise, use Lemma 3.1, Prop. 3.10, Lemma 3.11, and Lemma 3.12.  $\diamond$

Th. 3.13 is admittedly unsatisfactory. It would be better (if possible) to have a forbidden subposet characterization, or to find a class  $\mathcal{C}$  that could serve in place of  $\mathcal{B}$  in that theorem, but which consists of a nicer class of posets, perhaps even a single poset.

#### 4. The extension problem for strictly order-preserving maps into $\mathbb{Z}$

**Lemma 4.1.** *Let  $Q$  be a poset; let  $\widehat{\Phi} : Q \rightarrow 2\mathbb{Z}$  be a strictly order-preserving map. Let  $P := \nu(Q)$ . Let  $S \subseteq Q$  and let  $g : S \rightarrow 2\mathbb{Z}$  be a strictly order-preserving map.*

*The following are equivalent:*

- (1) *There exists a strictly order-preserving map  $\Phi : Q \rightarrow 2\mathbb{Z}$  extending  $g$ .*

- (2) *There exists a strictly order-preserving map  $\Psi : P \rightarrow \mathbb{Z}$  extending  $g$  such that  $\Psi[Q] \subseteq 2\mathbb{Z}$ .*

**Proof.** Clearly (2) implies (1).

Assume (1). For every  $p, q \in Q$  such that  $p \triangleleft q$  in  $Q$ , we have  $\Phi(p) < \Phi(p) + 1 < \Phi(q)$  since  $\Phi(p), \Phi(q) \in 2\mathbb{Z}$ , so let

$$\Psi(\nu_{pq}) = \Phi(p) + 1 \in \mathbb{Z} \setminus 2\mathbb{Z}.$$

For every  $p \in Q$ , let  $\Psi(p) = \Phi(p)$ .

Since  $S \subseteq Q$ , for all  $s \in S$ ,  $\Psi(s) = \Phi(s) = g(s)$ . Hence  $\Psi$  extends  $g$ . Since  $\Phi[Q] \subseteq 2\mathbb{Z}$ , then  $\Psi[Q] \subseteq 2\mathbb{Z}$ . Let  $p, p' \in P$  be such that  $p < p'$ . If  $p, p' \in Q$ , then  $\Psi(p) = \Phi(p) < \Phi(p') = \Psi(p')$ . If  $p \in Q$ ,  $p' \in P \setminus Q$ , then assume  $p' = \nu_{tu}$  where  $t \triangleleft u$  in  $Q$ ; then  $p \leq t$ , so  $\Psi(p) = \Phi(p) \leq \Phi(t) < \Psi(\nu_{tu}) = \Psi(p')$ . If  $p \in P \setminus Q$ ,  $p' \in Q$ , then assume  $p = \nu_{tu}$  where  $t \triangleleft u$  in  $Q$ ; then  $u \leq p'$ , so  $\Psi(p) < \Phi(u) \leq \Phi(p') = \Psi(p')$ . If  $p, p' \in P \setminus Q$ , then assume  $p = \nu_{tu}$  and  $p' = \nu_{vw}$  where  $t \triangleleft u$  in  $Q$  and  $v \triangleleft w$  in  $Q$ ; then  $u \leq v$ , so  $\Psi(p) = \Psi(\nu_{tu}) < \Phi(u) \leq \Phi(v) < \Psi(\nu_{vw}) = \Psi(p')$ . Hence  $\Psi$  is strictly order-preserving.  $\diamond$

**Lemma 4.2.** *Let all be as in Lemma 4.1. Assume  $S$  is a convex subset of  $Q$ . Let  $R := S \cup \{\nu_{st} \mid s \triangleleft t \text{ in } Q \text{ and } s, t \in S\}$ . Let  $f : R \rightarrow \mathbb{Z}$  be a strictly order-preserving extension of  $g$ .*

*Then  $R$  is a convex subset of  $P$ , and the following are equivalent:*

- (1) *There exists a strictly order-preserving map  $\Phi : Q \rightarrow 2\mathbb{Z}$  extending  $g$ .*
- (2) *There exists a strictly order-preserving map  $\Psi : P \rightarrow \mathbb{Z}$  extending  $f$  such that  $\Psi[Q] \subseteq 2\mathbb{Z}$ .*

**Proof.** Let  $r, r' \in R$  and let  $p \in P$  be such that  $r < p < r'$ . There exist  $s, s' \in S$  such that  $s \leq r$  and  $r' \leq s'$ . If  $p \in Q$ , then, since  $S$  is convex in  $Q$ ,  $p \in S$ ; hence  $p \in R$ . So assume  $p \notin Q$ . Let  $p = \nu_{tu}$  where  $t, u \in Q$  and  $t \triangleleft u$  in  $Q$ . Hence  $s \leq t \leq u \leq s'$  and  $t, u \in S$  by convexity, so  $p \in R$ . Thus  $R$  is a convex subset of  $P$ .

Assume (2). Let  $\Phi : Q \rightarrow \mathbb{Z}$  be the restriction of  $\Psi$  to  $Q$ . By hypothesis,  $\Phi$  maps into  $2\mathbb{Z}$ . It is of course strictly order-preserving. Let  $s \in S$ . Then  $s \in R$ , so  $\Phi(s) = \Psi(s) = f(s) = g(s)$ . Hence (1) holds.

Now assume (1). Let  $p, q \in Q$  be such that  $p \triangleleft q$  in  $Q$ . We have  $\Phi(p) < \Phi(p) + 1 < \Phi(q)$  since  $\Phi(p), \Phi(q) \in 2\mathbb{Z}$ . If  $p, q \in S$ , then  $\Phi(p) = g(p) = f(p) < f(\nu_{pq}) < f(q) = g(q) = \Phi(q)$ . Thus, let

$$\Psi(\nu_{pq}) := \begin{cases} f(\nu_{pq}) & \text{if } p, q \in S, \\ \Phi(p) + 1 & \text{otherwise.} \end{cases}$$

For every  $p \in Q$ , let  $\Psi(p) = \Phi(p)$ . We note that for all  $p, q \in Q$  such that  $p \triangleleft q$  in  $Q$ ,  $\Phi(p) = \Psi(p) < \Psi(\nu_{pq}) < \Psi(q) = \Phi(q)$ .

The previous proof shows that  $\Psi : P \rightarrow \mathbb{Z}$  is strictly order-preserving,  $\Psi[Q] \subseteq 2\mathbb{Z}$ , and  $\Psi$  extends  $g$ .

Let  $r \in R$ . If  $r \in S$ , then  $\Psi(r) = \Phi(r) = g(r) = f(r)$ . If  $r \notin S$ , then let  $r = \nu_{st}$  where  $s, t \in S$  and  $s \triangleleft t$  in  $Q$ . Then  $\Psi(r) = f(\nu_{st}) = f(r)$ . Hence  $\Psi$  extends  $f$ .  $\diamond$

**Lemma 4.3.** *Let all be as in Lemma 4.1. Let  $R \subseteq P$  be a convex subset. Assume  $\widehat{\Psi} : P \rightarrow \mathbb{Z}$  is a strictly order-preserving map. Let  $f : R \rightarrow \mathbb{Z}$  be a strictly order-preserving map. Assume*

$$\begin{aligned} \widehat{\Psi}[Q], f[Q \cap R] &\subseteq 2\mathbb{Z}, \\ \widehat{\Psi}[P \setminus Q], f[R \setminus Q] &\subseteq \mathbb{Z} \setminus 2\mathbb{Z}. \end{aligned}$$

Let  $A$  be a maximal antichain of  $P \setminus (\uparrow R \cup \downarrow R)$  and let  $\widetilde{R} := A \cup R$ . Define  $\tilde{f} : \widetilde{R} \rightarrow \mathbb{Z}$  for all  $\tilde{r} \in \widetilde{R}$  by

$$\tilde{f}(\tilde{r}) := \begin{cases} f(\tilde{r}) & \text{if } \tilde{r} \in R, \\ \widehat{\Psi}(\tilde{r}) & \text{if } \tilde{r} \in A. \end{cases}$$

The following are equivalent:

- (1) There exists a strictly order-preserving map  $\Psi : P \rightarrow \mathbb{Z}$  extending  $f$ .
- (2) There exists a strictly order-preserving map  $\widetilde{\Psi} : P \rightarrow \mathbb{Z}$  extending  $\tilde{f}$ .
- (3) For all  $p \in P$ ,  $\tilde{f}p_P < \infty$  if  $p \in \uparrow \widetilde{R}$ , and  $p_P^{\tilde{f}} > -\infty$  if  $p \notin \uparrow \widetilde{R}$ .

Moreover, we may choose  $\widetilde{\Psi}$  so that  $\widetilde{\Psi}[Q] \subseteq 2\mathbb{Z}$ .

**Proof.** Assume (3). Define  $\widetilde{\Psi} : P \rightarrow \mathbb{Z}$  for all  $p \in P$  by

$$\widetilde{\Psi}(p) := \begin{cases} \tilde{f}p_P & \text{if } p \in \uparrow \widetilde{R}, \\ p_P^{\tilde{f}} & \text{if } p \notin \uparrow \widetilde{R}. \end{cases}$$

We show that  $\widetilde{\Psi}$  is strictly order-preserving. Let  $p_1, p_2 \in P$  be such that  $p_1 \triangleleft p_2$ . Without loss of generality,  $p_1 \notin \uparrow \widetilde{R}$  and  $p_2 \in \uparrow \widetilde{R}$ ; also  $|Q \cap \{p_1, p_2\}| = 1$ ; now use the convexity of  $\widetilde{R}$ . Hence (2) holds.  $\diamond$

**Corollary 4.4.** *Let all be as in Lemma 4.3. The following are equivalent:*

- (1) There exists a strictly order-preserving map  $\Psi : P \rightarrow \mathbb{Z}$  extending  $f$ .  
(2) For all  $p \in P$ ,  ${}_f p_P < \infty$  and  $p_P^f > -\infty$ .

Moreover, we can assume  $\Psi[Q] \subseteq 2\mathbb{Z}$ .

**Corollary 4.5.** *Let all be as in Lemma 4.2. The following are equivalent:*

- (1) There exists a strictly order-preserving map  $\Psi : Q \rightarrow 2\mathbb{Z}$  extending  $g$ .  
(2) For all  $q \in Q$ ,  ${}_g q_Q < \infty$  and  $q_Q^g > -\infty$ .

**Proof.** Clearly (1) implies (2). Now assume (2). By Lemma 3.12, there exists a strictly order-preserving map  $\widehat{\Xi} : P \rightarrow \mathbb{Z}$  such that  $\widehat{\Xi}[Q] \subseteq 2\mathbb{Z}$  and  $\widehat{\Xi}[P \setminus Q] \subseteq \mathbb{Z} \setminus 2\mathbb{Z}$ . Let us assume that  $f : R \rightarrow \mathbb{Z}$  is a strictly order-preserving extension of  $g$  such that  $f[R \setminus S] \subseteq \mathbb{Z} \setminus 2\mathbb{Z}$ . We have (1) by Cor. 4.4.  $\diamond$

**Corollary 4.6.** *Let  $Q$  be a poset. Let  $S \subseteq Q$  be a convex subset of  $Q$ . Let  $\widehat{\Phi} : Q \rightarrow \mathbb{Z}$  be a strictly order-preserving map. Let  $g : S \rightarrow \mathbb{Z}$  be a strictly order-preserving map.*

*The following are equivalent:*

- (1) There exists a strictly order-preserving map  $\Psi : Q \rightarrow \mathbb{Z}$  extending  $g$ .  
(2) For all  $q \in Q$ ,  ${}_g q_Q < \infty$  and  $q_Q^g > -\infty$ .

**Proof.** Assume (2). Define  $\widehat{\Omega} : Q \rightarrow 2\mathbb{Z}$  by  $\widehat{\Omega} := 2\widehat{\Phi}$ . Define  $\bar{g} : S \rightarrow 2\mathbb{Z}$  by  $\bar{g} := 2g$ . Define  $P := \nu(Q)$ . For all  $q \in Q$  and all  $s \in \downarrow_S q$ , we have  $\bar{g}(s) + \ell_P[s, q] = 2g(s) + 2\ell_Q[s, q]$ . Hence for all  $q \in Q$ ,  ${}_{\bar{g}} q_P < \infty$  and  $q_P^{\bar{g}} > -\infty$ . By Cor. 4.5, there exists a strictly order-preserving map  $\bar{\Psi} : Q \rightarrow 2\mathbb{Z}$  extending  $\bar{g}$ . Let  $\Psi := \frac{1}{2}\bar{\Psi}$ .  $\diamond$

**Lemma 4.7.** *Let  $n \in \mathbb{N}_0$ . Let  $Q$  be a poset. Let  $S \subseteq Q$ . Let  $T := \uparrow S \cap \downarrow S$ . Let  $\widehat{\Phi} : Q \rightarrow \mathbb{Z}$  be a strictly order-preserving map. Let  $g : S \rightarrow \mathbb{Z}$  be a strictly order-preserving map. Let  $h : T \rightarrow \mathbb{Z}$  be a strictly order-preserving map extending  $g$ . Let  $p \in (\uparrow S) \setminus T$  be such that  ${}_g p < \infty$ .*

*Define  $k : T \rightarrow \mathbb{Z}$  as follows: For all  $t \in T$ , let*

$$k(t) := \begin{cases} \max\{n, {}_g p\} - \ell[t, p] & \text{if } t \leq p \text{ and } h(t) + \ell[t, p] > \max\{n, {}_g p\}, \\ h(t) & \text{otherwise.} \end{cases}$$

*Then  $k : T \rightarrow \mathbb{Z}$  is a strictly order-preserving map extending  $g$  and  $k(t) \leq h(t)$  for all  $t \in T$ . Moreover, for all  $q \in (\uparrow S) \setminus T$ , if  ${}_h q < \infty$  then*



${}_k q < \infty$ ; and for all  $q \in (\downarrow S) \setminus T$ , if  $q^h > -\infty$ , then  $q^k > -\infty$ . Finally,  ${}_k p < \infty$ .

**Lemma 4.8.** *Let  $\alpha$  be an ordinal. Let  $Q$  be a poset. Let  $S \subseteq Q$ . Let*

$$T := \uparrow S \cap \downarrow S.$$

*Let  $(p_\beta)_{\beta < \alpha}$  be a sequence in  $(\uparrow S) \setminus T$ . Let  $\widehat{\Phi} : Q \rightarrow \mathbb{Z}$  be a strictly order-preserving map. Let  $g : S \rightarrow \mathbb{Z}$  be a strictly order-preserving map. Let  $h_0 : T \rightarrow \mathbb{Z}$  be a strictly order-preserving map extending  $g$ . Assume that for all  $q \in (\uparrow S) \setminus T$  we have  ${}_g q < \infty$  and that for all  $q \in (\downarrow S) \setminus T$  we have  $q^g > -\infty$ .*

*If  $(n_\beta)_{\beta < \alpha}$  is a sequence in  $\mathbb{N}_0$ , define a sequence of functions*

$$(h_\beta : T \rightarrow \mathbb{Z})_{\beta \leq \alpha}$$

*as follows:*

*Assume  $\beta < \alpha$  and  $h_\beta : T \rightarrow \mathbb{Z}$  is defined.*

**Case (a)**  ${}_h p_\beta < \infty$ . *Let  $h_{\beta+1} := h_\beta$ .*

**Case (b)**  ${}_h p_\beta = \infty$ . *Define  $h_{\beta+1} : T \rightarrow \mathbb{Z}$  for all  $t \in T$  by*

$$h_{\beta+1}(t) := \begin{cases} \max\{n_\beta, {}_g p_\beta\} - \ell[t, p_\beta] & \text{if } t \leq p_\beta \text{ and} \\ & h_\beta(t) + \ell[t, p_\beta] > \max\{n_\beta, {}_g p_\beta\}, \\ h_\beta(t) & \text{otherwise.} \end{cases}$$

*Assume  $\beta \leq \alpha$  is a limit ordinal and  $h_\gamma : T \rightarrow \mathbb{Z}$  is defined for all  $\gamma < \beta$ . Define  $h_\beta : T \rightarrow \mathbb{Z}$  for all  $t \in T$  by  $h_\beta(t) := \lim_{\gamma \rightarrow \beta} h_\gamma(t)$ .*

*Then for all  $\beta \leq \alpha$ :*

- (1 $_\beta$ )  $h_\beta : T \rightarrow \mathbb{Z}$  *is a strictly order-preserving map extending  $g$ . If  $\beta$  is a limit ordinal, then for all  $t \in T$  there exists  $\gamma < \beta$  such that  $h_\beta(t) = h_\gamma(t)$  whenever  $\gamma \leq \delta < \beta$ .*
- (2 $_\beta$ ) *For all  $\gamma \leq \beta$ , the following holds: if  $q \in (\uparrow S) \setminus T$  and  ${}_h q < \infty$ , then  ${}_h p_\beta < \infty$ .*
- (3 $_\beta$ ) *If  $\beta < \alpha$  then  ${}_h p_\beta < \infty$ .*

**Proof.** By hypothesis (1 $_0$ ) and (2 $_0$ ) hold, and (3 $_0$ ) holds by Lemma 4.7. Now assume  $\beta < \alpha$  and (1 $_\gamma$ )–(3 $_\gamma$ ) hold for all  $\gamma \leq \beta$ .

**Case (a)**  ${}_h p_\beta < \infty$ . Since  $h_{\beta+1} = h_\beta$ , then (1 $_{\beta+1}$ ) and (2 $_{\beta+1}$ ) hold. By Lemma 4.7, (3 $_{\beta+1}$ ) holds.

**Case (b)**  $h_\beta p_\beta = \infty$ . By Lemma 4.7,  $(1_{\beta+1})$  and  $(2_{\beta+1})$  hold. If  $\beta + 1 < \alpha$  but  $h_{\beta+2} p_{\beta+1} = \infty$ , then  $h_{\beta+1} p_{\beta+1} = \infty$  so by Lemma 4.7,  $h_{\beta+2} p_{\beta+1} < \infty$ , a contradiction. Thus  $(3_{\beta+1})$  holds.

Now assume  $\beta \leq \alpha$  is a limit and  $(1_\gamma)$ – $(3_\gamma)$  hold for all  $\gamma < \beta$ . Then  $h_\beta : T \rightarrow \mathbb{Z}$  is well defined by local chain-boundedness and the fact that, for all  $\gamma < \beta$  and for all  $t \in T$ , there exists  $s \in S$  such that  $s \leq t$  and  $h_\gamma(t) \geq h_\gamma(s) = g(s) \in \mathbb{Z}$ . Indeed, for all  $t \in T$ , there exists  $\gamma < \beta$  such that  $h_\beta(t) = h_\delta(t)$  whenever  $\gamma \leq \delta < \beta$ . Clearly  $(1_\beta)$  holds. Also  $(2_\beta)$  holds since for all  $\gamma < \beta$  and for all  $t \in T$ ,  $h_\beta(t) \leq h_\gamma(t)$ . If  $\beta < \alpha$  and  $h_{\beta+1} p_\beta = \infty$ , then  $h_\beta p_\beta = \infty$ , so by Lemma 4.7,  $h_{\beta+1} p_\beta < \infty$ , a contradiction. Hence  $(3_\beta)$  holds.  $\diamond$

**Lemma 4.9.** *Let all be as in Lemma 4.8. Let  $q \in (\downarrow S) \setminus T$ . Assume  $q^{h_0} > -\infty$  but  $q^{h_\beta} = -\infty$  for some  $\beta \leq \alpha$ .*

*Then there exist a strictly increasing sequence  $(\beta_i)_{i < \omega}$  of ordinals less than  $\beta$  and a sequence  $(t_i)_{i < \omega}$  of elements of  $T$  with  $t_i \in [q, p_{\beta_i}]$  for  $i < \omega$  such that*

- (1)  $\lim_{i \rightarrow \omega} \ell[q, p_{\beta_i}] = \infty$  and
- (2) for all  $i < \omega$ ,  $\ell[q, p_{\beta_i}] > n_{\beta_i}$ .

**Proof.** Let  $\beta$  be the least ordinal  $\gamma \leq \alpha$  such that  $q^{h_\gamma} = -\infty$ . By Lemma 4.7,  $\beta$  is a limit. There exist  $t_0, t_1, t_2, \dots$  in  $(\uparrow_T q) \setminus S$  such that  $\inf\{h_\beta(t_i) - \ell[q, t_i] \mid i < \omega\} = -\infty$ .

Without loss of generality,  $(h_\beta(t_i) - \ell[q, t_i])_{i < \omega}$  is a strictly decreasing sequence of negative integers less than  $q^{h_0}$ . As  $q^{h_0} > -\infty$ , for each  $i < \omega$ , there exists  $\beta_i < \beta$  such that  $h_{\beta_i}(t_i) \neq h_{\beta_i+1}(t_i) = h_\beta(t_i)$ ; this means  $t_i \leq p_{\beta_i}$ . No ordinal can appear infinitely often in the sequence  $(\beta_i)_{i < \omega}$  by the minimality of  $\beta$ . Thus without loss of generality  $\beta_0 < \beta_1 < \beta_2 < \dots$  [4], Lemma 6.8.

For  $i < \omega$ ,

$$h_\beta(t_i) + \ell[t_i, p_{\beta_i}] \geq n_{\beta_i}$$

and

$$h_\beta(t_i) - \ell[q, t_i] < 0$$

so

$$\ell[q, t_i] + \ell[t_i, p_{\beta_i}] > n_{\beta_i}$$

and thus

$$\ell[q, p_{\beta_i}] > n_{\beta_i}.$$

If  $M := \sup\{\ell[q, p_{\beta_i}] | i < \omega\} < \infty$ , then  $\inf_{i < \omega} h_{\beta}(t_i) = -\infty$ ; but then

$$\begin{aligned} h_{\beta}(t_i) &= \max\{n_{\beta_i}, {}_g p_{\beta_i}\} - \ell[t_i, p_{\beta_i}] \geq \\ &\geq n_{\beta_i} - M \geq -M, \end{aligned}$$

a contradiction.  $\diamond$

**Corollary 4.10.** *Let all be as in Lemma 4.8. Then there exists a sequence  $(n_{\beta})_{\beta < \alpha}$  in  $\mathbb{N}_0$  such that, for all  $q \in (\downarrow S) \setminus T$ , if  $q^{h_0} > -\infty$  then  $q^{h_{\alpha}} > -\infty$ .*

**Proof.** Suppose not. Consider the braid  $B$  formed in a certain way from the sets  $Y = (\downarrow S) \setminus T$  and  $Z = \{p_{\beta} | \beta < \alpha\}$ , where  $\text{Max}B$  as a set is  $Y \cap \downarrow_Q Z$  and  $\text{Min}B$  as a set is  $Z \cap \uparrow_Q Y$ , but both are of course ordered as antichains, and for  $y \in \text{Max}B$  and  $z \in \text{Min}B$  such that  $y \leq z$  in  $Q$ , there is a chain in  $B$  of length  $\ell_Q[y, z]$ .

Every function from the set  $\text{Min}B$  to  $\mathbb{N}_0$  “extends” to a sequence  $(n_{\beta})_{\beta < \alpha}$  in  $\mathbb{N}_0$ . But for every sequence  $(n_{\beta})_{\beta < \alpha}$  in  $\mathbb{N}_0$ , there is a  $q \in (\downarrow S) \setminus T$  such that  $q^{h_0} > -\infty$  but  $q^{h_{\alpha}} = -\infty$  (so  $q \in \text{Max}B$  as well). By Lemma 4.9, there exists a countably infinite subset  $\{\beta_i\}_{i < \omega}$  of ordinals less than  $\alpha$  such that  $\ell_B^q(p_{\beta_i}) > n_{\beta_i}$  for all  $i < \omega$  and  $\sup_{i < \omega} \ell_B^q(p_{\beta_i}) = \infty$ .

By Lemma 3.3,  $B \in \mathcal{B}$ . Since there is obviously a strictly order-preserving map from  $B$  into the dual of  $Q$ , this contradicts Th. 3.13.  $\diamond$

**Corollary 4.11.** *Let  $Q$  be a poset. Let  $S \subseteq Q$ . Let  $T := \uparrow S \cap \downarrow S$ . Let  $\widehat{\Phi} : Q \rightarrow \mathbb{Z}$  be a strictly order-preserving map. Let  $g : S \rightarrow \mathbb{Z}$  be a strictly order-preserving map. Assume that for all  $q \in (\uparrow S) \setminus T$  we have  ${}_g q < \infty$  and for all  $q \in (\downarrow S) \setminus T$  we have  $q^g > -\infty$ .*

*Then the following are equivalent:*

- (1) *There exists a strictly order-preserving map  $h_0 : T \rightarrow \mathbb{Z}$  extending  $g$ .*
- (2) *There exists a strictly order-preserving map  $h : T \rightarrow \mathbb{Z}$  extending  $g$  such that, for all  $q \in (\uparrow S) \setminus T$  we have  ${}_h q < \infty$  and for all  $q \in (\downarrow S) \setminus T$  we have  $q^h > -\infty$ .*

**Proof.** Assume (1) holds. Let  $\alpha$  equal

$$|\{q \in (\uparrow S) \setminus T : {}_{h_0} q = \infty\}| + \omega$$

(if there is a  $q \in (\uparrow S) \setminus T$  such that  ${}_{h_0} q = \infty$ ). By Cor. 4.10, there is a sequence  $(n_{\beta})_{\beta < \alpha}$  in  $\mathbb{N}_0$  such that for all  $q \in (\downarrow S) \setminus T$ , if  $q^{h_0} > -\infty$  then

$q^{h_\alpha} > -\infty$ . By Lemma 4.8,  $h_\alpha : T \rightarrow \mathbb{Z}$  is a strictly order-preserving map extending  $g$  and for all  $q \in (\uparrow S) \setminus T$ , we have  $h_\alpha q < \infty$ .

Now do the same for  $\{q \in (\downarrow S) \setminus T \mid q^{h_\alpha} = -\infty\}$ .  $\diamond$

**Theorem 4.12.** *Let  $Q$  be a poset. Let  $S \subseteq Q$ . Let  $g : S \rightarrow \mathbb{Z}$  be a strictly order-preserving map.*

*Then (1) and (2) are equivalent:*

- (1) *There exists a strictly order-preserving map  $\Psi : Q \rightarrow \mathbb{Z}$  extending  $g$ .*
- (2)(a) *There exists a strictly order-preserving map from  $Q$  to  $\mathbb{Z}$ .*
- (2)(b) *For all  $s, t \in S$  such that  $s < t$ ,  $\ell_Q[s, t] \leq g(t) - g(s)$ .*
- (2)(c) *For all  $q \in Q$ ,  ${}_g q < \infty$  and  $q^g > -\infty$ .*

**Proof.** Assume (2). Let  $T := \uparrow S \cap \downarrow S$ . Define  $h_0 : T \rightarrow \mathbb{Z}$  for all  $t \in T$  by  $h_0(t) := {}_g t$ . By (2)(a) and (b),  $h_0$  is well defined, is strictly order-preserving, and extends  $g$ . By Cor. 4.11, there exists a strictly order-preserving map  $h : T \rightarrow \mathbb{Z}$  extending  $g$  such that, for all  $q \in (\uparrow S) \setminus T$  we have  ${}_h q < \infty$  and for all  $q \in (\downarrow S) \setminus T$  we have  $q^h > -\infty$ . By Cor. 4.6, there exists a strictly order-preserving map  $\Psi : Q \rightarrow \mathbb{Z}$  extending  $h$ , hence  $g$ .  $\diamond$

As stated earlier, one defect of our theorem is (2)(a). Also, given the simplicity of the statement of the result – the “obvious” necessary conditions are also sufficient – it would not surprise us if there were a one-line proof of our theorem, which avoids altogether the use of the ancillary poset  $\nu(Q)$  or transfinite induction.

## 5. Extending injective order-preserving maps into $\mathbb{Z}$ to convex hulls

We will use the following result.

**Theorem 5.1** (Skilton [9], Th. 1). *Let  $P$  be a poset. There exists an injective order-preserving map  $\Psi : P \rightarrow \mathbb{Z}$  if and only if  $P$  is countable and locally finite.*

[*Mathematical Reviews* 86b:06002 erroneously states that every

countable poset admits such an injection.]

**Theorem 5.2** (Skilton [9], Th. 3). *Let  $P$  be a countable locally finite poset. Let  $S \subseteq P$  be a finite subset and suppose  $g : S \rightarrow \mathbb{Z}$  is an injective order-preserving map. Then  $g : S \rightarrow \mathbb{Z}$  has an injective order-preserving extension  $\Psi : P \rightarrow \mathbb{Z}$  if and only if, for all  $V \subseteq S$ ,*

$$|\overline{V}| \leq |\overline{g[V]}|.$$

Th. 5.2 extends a result of Daykin and Daykin for finite posets [3], Th. 8.1.

Skilton has suggested that “one might consider the problem of extending an [injective order-preserving map from] a dense subposet...” [9], §4.

In this section, we solve the problem suggested by Skilton.

**Theorem 5.3.** *Let  $P$  be a countable locally finite poset. Let  $S \subseteq P$  be such that  $P = \overline{S}$ . Let  $g : S \rightarrow \mathbb{Z}$  be a one-to-one order-preserving map. Then there exists a one-to-one order-preserving map  $\Psi : P \rightarrow \mathbb{Z}$  extending  $g$  if and only if, for all finite subsets  $V \subseteq S$ , we have*

$$|\overline{V}| \leq |\overline{g[V]}|.$$

**Proof.** Necessity is clear. Now suppose the condition holds. For all  $p \in P$ , choose  $s, t \in S$  such that  $p \in [s, t]$  and let  $A_p := [g(s), g(t)]$ . For any finite  $J \subseteq P$ , by Th. 5.2 there exists an injective order-preserving map  $\Theta_J : J \rightarrow \mathbb{Z}$  extending  $g \upharpoonright S \cap J$ . By Rado’s Selection Principle [7], Th. 4.1.1, there exists a one-to-one map  $\Psi : P \rightarrow \mathbb{Z}$  such that, for all finite  $J \subseteq P$ , there exists a finite  $K \subseteq P$  such that  $J \subseteq K$  and  $\Psi \upharpoonright J = \Theta_K \upharpoonright J$ .

Let  $p, q \in P$  be such that  $p \leq q$ . Let  $J = \{p, q\}$ . Then there exists a finite set  $K \subseteq P$  such that  $J \subseteq K$  and  $\Psi(p) = \Theta_K(p)$  and  $\Psi(q) = \Theta_K(q)$ . But  $\Theta_K(p) \leq \Theta_K(q)$ . Thus  $\Psi$  is order-preserving.

Let  $s \in S$ . Let  $J = \{s\}$ . Then there exists a finite set  $K \subseteq P$  such that  $s \in K$  and  $\Psi(s) = \Theta_K(s)$ . But  $\Theta_K(s) = g(s)$ . Thus  $\Psi$  extends  $g$ .  $\diamond$

Something akin to the following result may be useful in solving the general problem.

**Corollary 5.4.** *Let  $P$  be a countable locally finite poset. Let  $S \subseteq P$  and*

let  $g : S \rightarrow \mathbb{Z}$  be a one-to-one order-preserving map. Let  $E \subseteq \mathbb{Z} \setminus g[S]$ . Let  $T := \overline{S}$ .

There exists a one-to-one order-preserving map  $h : T \rightarrow \mathbb{Z}$  extending  $g$  such that  $E \cap h[T] = \emptyset$  if and only if, for all finite subsets  $V \subseteq S$ ,

$$|\overline{V}| \leq |\overline{g[V]} \setminus E|.$$

**Proof.** Necessity is obvious. Now assume the condition. Let  $E'$  be a set  $\{e' | e \in E\}$  of cardinality  $|E|$  disjoint from  $P$  and ordered as an antichain. Let  $S' := S \cup E'$  and let  $g' : S' \rightarrow \mathbb{Z}$  be defined by  $g'(s) = g(s)$  for all  $s \in S$  and  $g'(e') = e$  for all  $e \in E$ . Then  $\overline{S'} = T \cup E'$  and for all finite  $V' \subseteq S'$  – say  $V := V' \cap S$  and  $F' := V' \cap E'$  – we have  $|\overline{V'}| = |\overline{V}| + |F'|$ . Letting  $F := \{f \in E \mid f' \in F'\}$  and  $G := F \cap \overline{g[V]}$ , we see that

$$\begin{aligned} |\overline{V'}| &\leq |\overline{g[V]} \setminus E| + |\overline{g[V]} \cap F| + |F \setminus \overline{g[V]}| \Rightarrow \\ \Rightarrow |\overline{V'}| &\leq |\overline{g[V]}| + |F \setminus \overline{g[V]}| \Rightarrow \\ \Rightarrow |\overline{V'}| &\leq |\overline{g[V]}| + |F \setminus G| \Rightarrow \\ \Rightarrow |\overline{V'}| &\leq |g'[V']|, \end{aligned}$$

so by Th. 5.3, there exists a one-to-one order-preserving map  $h' : T \cup E' \rightarrow \mathbb{Z}$  extending  $g'$ . Thus  $h := h' \upharpoonright T$  extends  $g$  and  $E \cap h[T] = \emptyset$ .  $\diamond$

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