

# PROPERTIES TRANSFER BETWEEN TOPOLOGIES ON FUNCTION SPACES, HYPERSPACES AND UNDERLYING SPACES

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**Abstract:** Each collection  $\alpha$  of families of subsets of  $X$  determines a topology  $\alpha(X, Z)$  on the space of continuous maps  $C(X, Z)$ . Interrelations between local properties of  $\alpha(X, \mathbb{R})$  and of  $\alpha(X, \$)$  (on the hyperspace  $C(X, \$)$ ), and with properties of a topological space  $X$  are studied in a general framework, which allows to treat simultaneously several classical constructions, like pointwise convergence, compact-open topology and the Isbell topology.

## 1. Introduction

The interrelation of properties of  $C_\alpha(X, Z)$  with those of  $X$  and  $Z$ , is a fascinating theme. Here  $\alpha$  is a collection of (openly isotone<sup>1</sup>) families of subsets of  $X$ , that defines a topology  $\alpha(X, Z)$  on  $C(X, Z)$  by a subbase

$$(1.1) \quad \{[\mathcal{A}, O] : \mathcal{A} \in \alpha, O \in \mathcal{O}_Z\},$$

where  $[\mathcal{A}, O] := \{f : f^{-1}(O) \in \mathcal{A}\}$ ,  $f^{-1}(O) := \{x : f(x) \in O\}$ , and  $\mathcal{O}_Z$  is the set of open subsets of  $Z$ .

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<sup>1</sup>A family  $\mathcal{A}$  of open sets is *openly isotone* if  $B \in \mathcal{A}$  provided that  $B$  is open and there is an element  $A \in \mathcal{A}$  such that  $A \subset B$ .

Its very special case, that of  $C_p(X, \mathbb{R})$  (the space of real-valued functions with pointwise convergence) has attracted a lot of researchers, among whom A. V. Arhangel'skii (e.g., [2]). Its intermediate case of

$$(1.2) \quad \alpha = \alpha_{\mathcal{D}} := \{\mathcal{O}_X(D) : D \in \mathcal{D}\},$$

where  $\mathcal{D}$  is a family of subsets of  $X$ , and  $\mathcal{O}_X(D) := \{O \in \mathcal{O}_X : D \subset O\}$ , is the object of a book of McCoy and Ntantu [17].

Actually the said interrelation corresponds to the upper side of a quadrilateral

$$\begin{array}{ccc} X & \leftrightarrow & C_\alpha(X, \mathbb{R}) \\ \downarrow & & \downarrow \\ C_\alpha(X, \$^*) & \leftrightarrow & C_\alpha(X, \$) \end{array}$$

in which, of course, one can consider also other sides, as well as diagonals. Here  $\$, \$^*$  stand for the two homeomorphic variants of the Sierpiński topology on  $\{0, 1\}$ , so that  $C(X, \$)$  can be identified with the hyperspace of  $X$ , and  $C(X, \$^*)$  with the set  $\mathcal{O}_X$  of open subsets of  $X$ .

It turns out that it is fruitful to study the three other sides in order to better grasp the interrelation of the upper side  $X \leftrightarrow C_\alpha(X, \mathbb{R})$ . Indeed,

- (1)  $C_\alpha(X, \$)$  is homeomorphic to  $C_\alpha(X, \$^*)$ ;
- (2) One can establish a dictionary of easy translations of elementary properties of  $C_\alpha(X, \$^*)$  and  $\alpha$ -properties of  $X$ ;
- (3) Under a separation condition (by real functions) one can evidence an intimate relationship between  $C_\alpha(X, \mathbb{R})$  and  $C_\alpha(X, \$)$ .

More precisely, if  $X$  is completely regular and  $\alpha$  is a compact web, then the neighborhood filter for  $\alpha(X, \mathbb{R})$  of the zero function  $\tilde{0}$  (that is,  $\tilde{0}(x) = 0$  for each  $x \in X$ ) belongs to the same *transferable* class as the neighborhood filter of  $\emptyset$  for  $\alpha(X, \$)$ . Roughly speaking a *web*  $\alpha$  on  $X$  is a collection of families of open subsets of  $X$  such that for each open subset  $Y$  there is  $\mathcal{A} \in \alpha$  that can be reconstructed from its trace on  $Y$ . A web is *compact* if its every element  $\mathcal{A}$  is a *compact family*.<sup>2</sup>

Compact (openly isotone) families on a topological space  $X$  coincide with the open sets of the *Scott topology* of  $C(X, \$^*)$  (see, e.g. [11]). It was shown in [6] that each such a family is of the form  $\bigcup_{K \in \mathcal{D}} \mathcal{O}_X(K)$ , where  $\mathcal{D}$  is a subfamily of compact subsets of  $X$ , if and only if  $X$  is *consonant*.

A collection  $\alpha_{\mathcal{D}}$  of the type (1.2), where  $\mathcal{D}$  is a network consisting of compact subsets of  $X$ , is a compact web. Moreover, if  $\mathcal{D}$  is hereditarily

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<sup>2</sup>Precise definitions are given before Lemma 4.7.

closed in a completely regular space  $X$ , then  $C_{\alpha_{\mathcal{D}}}(X, \mathbb{R})$  is, in particular, a topological group (e.g., [17, Th. 1.1.7]), hence homogeneous. Therefore in order to prove a local (transferable) property of  $C_{\alpha_{\mathcal{D}}}(X, \mathbb{R})$ , it is enough to establish it for the neighborhood filter of the constant function  $\tilde{0}$ .

Of course, in general, a hyperspace topology  $\alpha(X, \$)$  is not homogeneous. As  $\alpha(X, \$)$  and  $\alpha(X, \$^*)$  are homeomorphic (by complementation), a property of  $\mathcal{N}_{\alpha(X, \$)}(A)$  for  $A \in C(X, \$)$  is also a property of  $\mathcal{N}_{\alpha(X, \$^*)}(X \setminus A)$  and, as a rule, can be characterized in terms of the space  $X \setminus A$  with the induced topology. Therefore a local property of  $C_{\alpha}(X, \$)$  can be characterized by a hereditary (with respect to open subsets) property of  $X$ .

For general compact webs  $\alpha$  on completely regular spaces,  $C_{\alpha}(X, \mathbb{R})$  need not be even translation invariant. Therefore, *that*  $C_{\alpha}(X, \$)$  has a local transferable property does not necessarily imply that  $C_{\alpha}(X, \mathbb{R})$  has the same property. The implication holds for completely regular consonant spaces, because then  $\alpha$  is of the form (1.2).

Nevertheless, some local properties of hyperspaces pass onto the corresponding function spaces thanks to a characterization of convergence of functions valued in topological spaces in terms of the corresponding hyperspace convergence of the preimages of closed sets. Consequently, each  $\alpha$ -topology on  $C(X, \mathbb{R})$  can be, in principle, characterized in terms of the corresponding  $\alpha$ -topology on the hyperspace  $C(X, \$)$ , actually on its subset consisting of functionally closed subsets of  $X$ . By the way, it is why Georgiou, Iliadis and Papadopoulos studied properties of real-valued function spaces in terms of topologies on functionally open sets [9].

The present paper restricts its scope to topologies on function spaces (almost always real-valued) and to the corresponding hyperspace topologies. This is just one aspect of a general theory of convergence function spaces and hyperspace convergences that will be discussed in [7].

## 2. Open-set topologies

We denote the set of open subsets of  $X$  by either  $C(X, \$^*)$  or  $\mathcal{O}_X$ . We use the latter convention to define  $\mathcal{O}_X(x) := \{O \in \mathcal{O}_X : x \in O\}$ , and by  $\mathcal{O}_X(A) := \{O \in \mathcal{O}_X : A \subset O\}$ . If now  $\mathcal{A}$  is a family of subsets of  $X$ , then  $\mathcal{O}_X(\mathcal{A}) := \bigcup_{A \in \mathcal{A}} \mathcal{O}_X(A)$ . A family  $\mathcal{A}$  of subsets of  $X$  is *openly isotone* if  $\mathcal{O}_X(\mathcal{A}) = \mathcal{A}$ .

If  $\alpha$  is a non-empty collection of openly isotone families of subsets of

$X$ , then (1.1) is a subbase of a topology on  $C(X, Z)$ , denoted by  $\alpha(X, Z)$ . The corresponding topological space is denoted by  $C_\alpha(X, Z)$ .

In particular, for a non-empty family  $\mathcal{D}$  of subsets of  $X$ , the collection  $\alpha := \alpha_{\mathcal{D}}$  is defined by

$$(2.1) \quad \alpha_{\mathcal{D}} := \{\mathcal{O}_X(D) : D \in \mathcal{D}\},$$

and the symbol  $C_{\alpha_{\mathcal{D}}}(X, Z)$  is abridged to  $C_{\mathcal{D}}(X, Z)$ . It is often required (e.g., [17]) that  $\mathcal{D}$  be a (closed) *network* on  $X$ , that is, a family of closed sets such that for each  $x \in X$  and  $O \in \mathcal{O}_X(x)$  there is  $D \in \mathcal{D}$  for which  $x \in D \subset O$ . However (1.1) is a topology subbase for each  $\alpha = \alpha_{\mathcal{D}}$  provided that  $\mathcal{D} \neq \emptyset$ .

If  $A \subset X$  and  $B \subset Z$  then  $[A, B] := \{f \in C(X, Z) : f(A) \subset B\}$ . Therefore,  $[\mathcal{O}_X(D), O] = [D, O]$  and thus

$$\{[A, O] : A \in \alpha_{\mathcal{D}}, O \in \mathcal{O}_Z\} = \{[D, O] : D \in \mathcal{D}, O \in \mathcal{O}_Z\}.$$

**Example 2.1.** If  $\mathcal{D} = [X]^{<\aleph_0}$ , then

$$\{[F, O] : F \in [X]^{<\aleph_0}, O \in \mathcal{O}_Z\}$$

is a base of the topological space  $C_p(X, Z)$  of pointwise convergence (here  $p$  abridges  $[X]^{<\aleph_0}$ ).

**Example 2.2.** If  $\mathcal{D} = \mathcal{K}_X$  (the family of compact subsets of  $X$ ), then

$$\{[K, O] : K \in \mathcal{K}_X, O \in \mathcal{O}_Z\}$$

is a base of the topological space  $C_k(X, Z)$  of compact-open topology (here  $k$  abridges  $\mathcal{K}_X$ ).

We consider two complementary topologies on, respectively, the hyperspace  $C(X, \$)$  and the set  $C(X, \$^*)$  of open subsets of  $X$ . Here  $\$$  and  $\$^*$  are two homeomorphic avatars of the *Sierpiński topology* on  $\{0, 1\}$ :

$$\$ := \{\emptyset, \{1\}, \{0, 1\}\} \text{ and } \$^* := \{\emptyset, \{0\}, \{0, 1\}\}.$$

The *indicator function*  $\psi_A$  of a subset  $A$  of  $X$  is defined by to be 0 on  $A$  and 1 out of  $A$ . If  $X$  is a topological space, then  $\psi_A \in C(X, \$)$  if and only if  $A$  is closed, and  $\psi_A \in C(X, \$^*) := \mathcal{O}_X$  if and only if  $A$  is open.

The *complementation*  $^c : 2^X \rightarrow 2^X$  associates  $A^c := X \setminus A$  with  $A \subset X$ . In order to avoid ambiguity, we denote the image of  $\mathcal{A} \subset 2^X$  by the complementation by

$$\mathcal{A}_c := \{A^c : A \in \mathcal{A}\}.$$

The topology  $\alpha(X, \$^*)$  on the set  $C(X, \$^*)$  (of all open subsets of  $X$ ) has  $\alpha$  for a subbase, because, due to our convention, the subbase consists

of  $\{[\mathcal{A}, \{0\}] : \mathcal{A} \in \alpha\}$ , and  $[\mathcal{A}, \{0\}] = \{\psi_B \in C(X, \$^*) : \psi_B^-(0) \in \mathcal{A}\}$  (by definition,  $\psi_B^-(0) = B$ ).

If  $\alpha$  is stable for finite intersections, then  $\alpha$  is a base of  $\alpha(X, \$^*)$ . Hence the *neighborhood filter*  $\mathcal{N}_{\alpha(X, \$^*)}(Y)$  of  $Y \in C(X, \$^*)$  is generated by

$$\{\mathcal{A} \in \alpha : Y \in \mathcal{A}\}.$$

In particular, for  $\alpha = \alpha_{\mathcal{D}}$  a subbase for open sets is of the form

$$\{\mathcal{O}_X(D) : D \in \mathcal{D}\},$$

and  $\alpha_{\mathcal{D}}$  is stable for finite intersections provided that  $\mathcal{D}$  is stable for finite unions, so that

$$\mathcal{N}_{\alpha_{\mathcal{D}}(X, \$^*)}(Y) \approx \{\mathcal{O}_X(D) : Y \supset D \in \mathcal{D}\}.$$

The homeomorphic image of  $\alpha(X, \$^*)$  by the complementation is a topology on the hyperspace  $C(X, \$)$  denoted by  $\alpha(X, \$)$ . Accordingly,  $\{\mathcal{A}_c : \mathcal{A} \in \alpha\}$  is a subbase of  $\alpha(X, \$)$ -open sets on the hyperspace  $C(X, \$)$ ; the neighborhood of  $H \in C(X, \$)$  with respect to  $\alpha(X, \$)$  is

$$\mathcal{N}_{\alpha(X, \$)}(H) \approx \{\mathcal{A}_c : H^c \in \mathcal{A} \in \alpha\}.$$

In particular, a base of  $\mathcal{N}_{\alpha_{\mathcal{D}}(X, \$)}(A_0)$  consists of

$$\{\{A \in C(X, \$) : A \cap D = \emptyset\} : D \in \mathcal{D}, A_0 \cap D = \emptyset\}$$

This form of basic neighborhoods is at the origin of the term  *$\mathcal{D}$ -miss topology*.

**Remark 2.3.** Gruenhagen introduced the so-called  *$\gamma$ -connection* [12]. In particular, a filter  $\Gamma(Y, X)$ , where  $Y$  is an open subset of  $X$ , is generated by

$$\{\mathcal{O}_X(F) : Y \supset F \in [X]^{<\aleph_0}\},$$

hence  $\Gamma(Y, X)$  is a neighborhood filter of  $Y$  with respect to

$$\alpha_{[X]^{<\aleph_0}} := \{\mathcal{O}_X(F) : F \in [X]^{<\aleph_0}\}.$$

### 3. Preimage-wise characterization

Denote by  $f^-(A) := \{x : f(x) \in A\}$  and by  $\mathcal{F}^-(A)$  a filter generated by

$$\{\{f^-(A) : f \in F\} : F \in \mathcal{F}\}.$$

What follows is a special case of a theorem (see [7]) about  $C_\alpha(X, T)$  and  $C_\alpha(X, \$)$ , where  $X$  is a convergence space and  $T$  is a topological space.

**Theorem 3.1.** *Let  $\alpha$  be a collection of openly isotone families on a topological space  $X$ . Let  $\mathcal{C}$  be a base of closed subsets of  $\mathbb{R}$ . If  $\mathcal{F}$  is a filter on  $C(X, \mathbb{R})$ , then*

$$f \in \lim_{\alpha(X, \mathbb{R})} \mathcal{F} \iff f^-(C) \in \lim_{\alpha(X, \$)} \mathcal{F}^-(C)$$

for each  $C$ .

**Proof.** By definition,  $f_0 \in \lim_{\alpha(X, \mathbb{R})} \mathcal{F}$  if and only if for each open subset  $O$  of  $\mathbb{R}$  and every  $\mathcal{A} \in \alpha$  such that  $f_0 \in [\mathcal{A}, O]$ , there exists  $F \in \mathcal{F}$  such that  $f \in [\mathcal{A}, O]$  for each  $f \in F$ . In other words, if  $f_0^-(O) \in \mathcal{A}$ , then there exists  $F \in \mathcal{F}$  such that  $f^-(O) \in \mathcal{A}$  for each  $f \in F$ , that is,  $\mathcal{F}^-(O)$  converges to  $f_0^-(O)$  in  $\alpha(X, \$^*)$ , equivalently,  $f_0^-(O^c)$  converges to  $f_0^-(O^c)$  in  $\alpha(X, \$)$ .

Suppose that  $f_0^-(C) \in \lim_{\alpha(X, \$)} \mathcal{F}^-(C)$  for each element  $C$  of a base of closed subsets of  $\mathbb{R}$ . Let  $A$  be a closed subset of  $\mathbb{R}$  and  $\mathcal{C}_A \subset \mathcal{C}$  be such that  $A = \bigcap_{C \in \mathcal{C}_A} C$ . If  $x \notin f_0^-(A)$  then there is  $C \in \mathcal{C}_A$  such that  $x \notin f_0^-(C)$ , hence, by assumption, there exists  $F \in \mathcal{F}$  such that  $x \notin f^-(C)$ , and thus  $x \notin f^-(A)$  for every  $f \in F$ , that is,  $f_0^-(A) \in \lim_{\alpha(X, \$)} \mathcal{F}^-(A)$ .  $\diamond$

**Corollary 3.2.** *The (infinite) tightness of  $\alpha(X, \mathbb{R})$  is not greater than that of  $\alpha(X, \$)$ .*

**Proof.** Suppose that the tightness of  $\alpha(X, \$)$  be  $\lambda$  and let  $\mathcal{C}$  be a countable base of closed subsets of  $\mathbb{R}$ . If  $f_0 \in \text{cl}_{\alpha(X, \mathbb{R})} \mathcal{B}$ , then by Th. 3.1,  $f_0^-(C) \in \text{cl}_{\alpha(X, \$)} \{f^-(C) : f \in \mathcal{B}\}$  for each  $C \in \mathcal{C}$ . Hence for each  $C \in \mathcal{C}$  there is  $\mathcal{B}_C \subset \mathcal{B}$  with  $\text{card}(\mathcal{B}_C) \leq \lambda$  such that

$f_0^-(C) \in \text{cl}_{\alpha(X, \$)} \{f^-(C) : f \in \mathcal{B}_C\}$ , thus  $f_0^-(C) \in \text{cl}_{\alpha(X, \$)} \{f^-(C) : f \in \mathcal{B}_0\}$ , where  $\mathcal{B}_0 := \bigcup_{C \in \mathcal{C}} \mathcal{B}_C$ . Th. 3.1 implies that  $f_0 \in \text{cl}_{\alpha(X, \mathbb{R})} \mathcal{B}_0$  and  $\text{card}(\mathcal{B}_0) \leq \lambda$ .  $\diamond$

**Corollary 3.3.** *The (infinite) character of  $\alpha(X, \mathbb{R})$  is not greater than that of  $\alpha(X, \$)$ .*

**Proof.** Suppose that the character of  $\alpha(X, \$)$  be  $\lambda$  and let  $\mathcal{C}$  be a countable base of closed subsets of  $\mathbb{R}$ . Then  $f \in \lim_{\alpha(X, \mathbb{R})} \mathcal{F}$  if and only if  $f^-(C) \in \lim_{\alpha(X, \$)} \mathcal{F}^-(C)$  for each element  $C \in \mathcal{C}$ . By the assumption, for each  $C \in \mathcal{C}$  there is a filter  $\mathcal{E}_C \leq \mathcal{F}^-(C)$  of character not greater than  $\lambda$  and such that  $f^-(C) \in \lim_{\alpha(X, \$)} \mathcal{E}_C$ . Let  $\mathcal{F}_C \subset \mathcal{F}$  be a filter on  $C(X, \mathbb{R})$  such that  $F \in \mathcal{F}_C$  whenever there is  $E \in \mathcal{E}_C$  for which  $E \subset F^-(C)$ . Let  $\mathcal{C}$  be ranged in a sequence  $\{C_n : n < \omega\}$ . Then there is a sequence  $(\mathcal{F}_{C_n})_n$  such that  $\mathcal{F}_{C_n} \subset \mathcal{F}_{C_{n+1}} \subset \mathcal{F}$  and  $f^-(C_n) \in \lim_{\alpha(X, \$)} \mathcal{F}_{C_n}^-(C_n)$  for each  $k \leq n$ . Consequently  $(\bigcup_{k < \omega} \mathcal{F}_{C_k})^-(C_n)$  converges to  $f^-(C_n)$  in  $\alpha(X, \$)$  for each  $n < \omega$ , and the character of  $\bigcup_{k < \omega} \mathcal{F}_{C_k}$  is not greater than  $\lambda$ . By Th. 3.1,  $f \in \lim_{\alpha(X, \mathbb{R})} \bigcup_{k < \omega} \mathcal{F}_{C_k}$ .  $\diamond$

As we have seen, no assumptions on  $X$  or  $\alpha$  were needed to get the corollaries above. The converse inequality will be established in the case of compact webs in completely regular spaces.

### 4. Compact families

An openly isotone family  $\mathcal{A}$  is *compact* if each family  $\mathcal{P}$  of open sets such that  $\bigcup \mathcal{P} \in \mathcal{A}$  has a finite subfamily  $\mathcal{P}_0$  of  $\mathcal{P}$  such that  $\bigcup \mathcal{P}_0 \in \mathcal{A}$ . We denote by  $\kappa(X)$  the collection of all compact families on  $X$ . Here are fundamental examples:

$$K \text{ compact} \Rightarrow \mathcal{O}_X(K) \in \kappa(X);$$

$$x \in \lim_X \mathcal{F} \Rightarrow \mathcal{O}_X(\mathcal{F} \wedge \{x\}) \in \kappa(X),$$

where  $\mathcal{F} \wedge \{x\} := \{F \cup \{x\} : F \in \mathcal{F}\}$ .

The collection of (openly isotone) compact families fulfill the following properties:

$$\emptyset, \mathcal{O}_X \in \kappa(X);$$

$$\alpha \subset \kappa(X) \Rightarrow \bigcup_{\mathcal{A} \in \alpha} \mathcal{A} \in \kappa(X);$$

$$\mathcal{A}_0, \mathcal{A}_1 \in \kappa(X) \Rightarrow \mathcal{A}_0 \cap \mathcal{A}_1 \in \kappa(X).$$

Therefore

**Corollary 4.1.**  $\kappa(X)$  is the collection of open sets of a topology on  $\mathcal{O}_X = C(X, \mathbb{S}^*)$ .

The topology of Cor. 4.1 is called the *Scott topology* (see [11], [3]).

**Example 4.2.** If  $\kappa = \kappa(X)$  is the collection of (openly isotone) compact families on  $X$ , then

$$\{[\mathcal{A}, O] : \mathcal{A} \in \kappa(X), O \in \mathcal{O}_Z\}$$

is a subbase of the *Isbell topology* on  $C(X, Z)$ . In particular,  $\kappa(X)$  is the collection of open sets of  $C_\kappa(X, \mathbb{S}^*)$ .

**Lemma 4.3.** If  $\mathcal{A} = \mathcal{O}(\mathcal{A})$  is a compact family of subsets of a completely regular topological space  $X$ , and  $F$  is a closed subset of  $X$  with  $F^c \in \mathcal{A}$ , then there is  $A \in \mathcal{A}$  and  $h \in C(X, [0, 1])$  such that  $h(A) = \{0\}$  and  $h(F) = \{1\}$ .

**Proof.** By complete regularity, for every  $x \notin F$ , there is an open neighborhood  $O_x$  of  $x$  and  $f_x \in C(X, [0, 1])$  such that  $f_x(O_x) = \{0\}$  and  $f_x(F) = \{1\}$ . Therefore  $F^c = \bigcup_{x \notin F} O_x \in \mathcal{A}$ , so that by the compactness

of  $\mathcal{A}$  there is  $n < \omega$  and  $x_1, \dots, x_n \notin F$  such that  $A = \bigcup_{1 \leq i \leq n} O_{x_i} \in \mathcal{A}$ . The continuous function  $\min_{1 \leq i \leq n} f_{x_i}$  is 0 on  $A$  and 1 on  $F$ .  $\diamond$

If  $\mathcal{A}$  is an openly isotone family on  $X$  and  $C$  is a subset of  $X$ , then

$$\mathcal{A} \vee C := \mathcal{O}_X(\{A \cap C : A \in \mathcal{A}\}).$$

**Lemma 4.4.** *If  $\mathcal{A}$  is a compact openly isotone family on  $X$  and  $C$  is a closed subset of  $X$ , then  $\mathcal{A} \vee C$  is compact.*

**Proof.** Indeed, if  $\mathcal{P}$  is a family of open sets such that  $\bigcup \mathcal{P} \in \mathcal{O}(\{A \cap C : A \in \mathcal{A}\})$ , then  $\bigcup \mathcal{P} \cup (X \setminus C) \in \mathcal{A}$ , hence there exists a finite subfamily  $\mathcal{P}_0$  of  $\mathcal{P}$  such that  $\bigcup \mathcal{P}_0 \cup (X \setminus C) \in \mathcal{A}$ , thus  $\bigcup \mathcal{P}_0 \in \mathcal{O}(\{A \cap C : A \in \mathcal{A}\})$ .  $\diamond$

The concept of *network* is well-known. Here we introduce a notion of web that extends and weakens that of network. A collection  $\alpha$  of openly isotone families is a *web* in  $X$  if for every  $x \in X$  and each  $O \in \mathcal{O}_X(x)$  there is  $\mathcal{A} \in \alpha$  such that  $\mathcal{A}$  is generated by a filter on  $O$ . In particular,  $\alpha_{\mathcal{D}}$  (2.1) is a web if for each  $x \in X$  and every  $O \in \mathcal{O}_X(x)$  there is  $D \in \mathcal{D}$  such that  $D \subset O$ . This is a weaker property than that of  $\mathcal{D}$  being a network. A collection of openly isotone families is called a *compact web* if it is a web consisting of compact families.

**Proposition 4.5.** *If  $\mathcal{D}$  is a compact network, then  $\alpha_{\mathcal{D}}$  is a compact web.*

Indeed, in this case,  $\alpha_{\mathcal{D}}$  is a collection of compact families. It is a web, because it includes  $\{\mathcal{O}_X(\{x\}) : x \in X\}$ . For instance,  $\{\mathcal{O}_X(F) : F \in [X]^{<\aleph_0}\}$  and  $\{\mathcal{O}_X(K) : K \in \mathcal{K}(X)\}$  are compact webs. Therefore,

**Corollary 4.6.**  *$\kappa(X)$  is a compact web on  $X$ .*

In fact,  $\kappa(X)$  is a web, because it includes a web, for example,  $\{\mathcal{O}_X(K) : K \in \mathcal{K}(X)\}$ . The following result extends [17, Th. 1.1.5].

**Lemma 4.7.** *If  $Z$  is Hausdorff and  $\alpha$  is a web, then  $C_{\alpha}(X, Z)$  is Hausdorff.*

**Proof.** If  $f_0 \neq f_1$  then there is  $x \in X$  such that  $f_0(x) \neq f_1(x)$ , and because  $Z$  is Hausdorff, there exist disjoint open sets  $O_0$  and  $O_1$  such that  $f_0(x) \in O_0$  and  $f_1(x) \in O_1$ . Therefore  $W := f_0^{-}(O_0) \cap f_1^{-}(O_1) \in \mathcal{O}_X(x)$ , and since  $\alpha$  is a web, there exists  $\mathcal{A} \in \alpha$  such that  $\mathcal{A}$  is generated by a filter on  $W$ . Therefore  $f_0 \in [\mathcal{A}, O_0]$ ,  $f_1 \in [\mathcal{A}, O_1]$  and  $[\mathcal{A}, O_1] \cap [\mathcal{A}, O_0]$  is empty, for if  $f \in [\mathcal{A}, O_1] \cap [\mathcal{A}, O_0]$  then there exist  $W \supset A_0, A_1 \in \mathcal{A}$  such that  $A_0 \subset f^{-}(O_0)$ ,  $A_1 \subset f^{-}(O_1)$  and  $A := A_0 \cap A_1 \in \mathcal{A}$ , hence  $f(A) \subset O_0 \cap O_1 = \emptyset$ .  $\diamond$

A family  $\mathcal{D}$  of closed subsets of  $X$  is called *hereditarily closed pro-*



vided that  $F \subset D \in \mathcal{D}$  and  $F$  is closed implies that  $F \in \mathcal{D}$ .<sup>3</sup> It is proved in [17, Th. 1.1.7] that

**Theorem 4.8.** *If  $\mathcal{D}$  is a hereditarily closed compact network and  $Z$  is a topological group, then  $C_{\mathcal{D}}(X, Z)$  is a topological group.*

In particular, the topology  $\alpha_{\mathcal{D}}$  of Th. 4.8 is homogeneous. Of course, families of all closed compact subsets and of all finite subsets of  $T_1$  topologies are hereditarily closed compact networks, so that, in particular,  $C_p(X, \mathbb{R})$  and  $C_k(X, \mathbb{R})$  are topological groups, in fact, topological vector spaces.

Nevertheless, there exists a topological space  $X$  (satisfying high separation axioms) and a collection  $\alpha$  of compact families including all families generated by compact sets, for which  $C_{\alpha}(X, \mathbb{R})$  is not a translation invariant. Of course, such a space  $X$  must be *dissonant*.

**Example 4.9.** Consider the *Arens topology* on  $X := \{x_{\infty}\} \cup \bigcup_{n < \omega} X_n$  where  $X_n := \{x_{n,k} : k < \omega\}$ : each  $x \neq x_{\infty}$  is isolated, and  $O \in \mathcal{O}_X(x_{\infty})$  whenever there is  $n_O$  and a map  $h : \omega \rightarrow \omega$  such that

$$\{x_{\infty}\} \cup \{x_{n,k} : n \geq n_O, k \geq h(n)\} \subset O.$$

The Arens topology is a *prime topology*, that is, all the elements but possibly one are isolated. Each prime topology has strong separation properties, in particular, is zero-dimensional and paracompact. Every compact subset of the Arens space is finite. A compact family  $\mathcal{S}$  is *simple* if either  $\mathcal{S} = \mathcal{O}_X(F)$  where  $F$  is a compact (hence, finite) subset of  $X$ , or  $\mathcal{S} \subset \mathcal{O}_X(x_{\infty})$ . Every compact family on the Arens space is a union of simple families. It is known [6] that the Arens topology is dissonant, in other words, there exists a compact family  $\mathcal{S}$  that is not of the form  $\mathcal{O}_X(F)$  with compact set  $F$ , hence  $\mathcal{S} \not\subseteq \mathcal{O}_X(x_{\infty})$ .

Let  $\mathcal{D} \subset \mathcal{O}_X(x_{\infty})$  be the compact family such that  $D \cap X_n \neq \emptyset$  for each  $n < \omega$  and every  $D \in \mathcal{D}$ , and let  $\alpha := \{\mathcal{D}\} \cup \{\mathcal{O}_X(F) : F \in [X]^{<\aleph_0}\}$ . Then  $C_{\alpha}(X, \mathbb{R})$  is not translation invariant.

Indeed, let  $D_0 \in \mathcal{D}$  be such that  $X_n \setminus D_0 \neq \emptyset$  for each  $n < \omega$ . Define  $f(D_0) = \{0\}$  and  $f(X \setminus D_0) = \{1\}$ . Then the translation  $g \mapsto f + g$  is not continuous at  $\tilde{0}$ . Indeed,  $f + \tilde{0} \in [\mathcal{D}, B(0, \varepsilon)]$  where  $\varepsilon = \frac{1}{2}$ . Take any finite set  $F$  and  $0 < \delta < \varepsilon$ , and consider a neighborhood

$$W_{\delta} := [\mathcal{D}, B(0, \delta)] \cap [\mathcal{O}_X(F), B(0, \delta)]$$

of the zero function  $\tilde{0}$ . Then there is  $n_F < \omega$  such that  $X_{n_F} \cap F = \emptyset$ . Let  $D_1 \in \mathcal{D}$  be such that  $X_{n_F} \cap D_1 \cap D_0 = \emptyset$ . On the other hand,  $X_{n_F} \cap D_0 \neq \emptyset$  and  $X_{n_F} \cap D_1 \neq \emptyset$  by the definition of  $\mathcal{D}$ . Set  $g(D_1 \cup F) = \{0\}$

<sup>3</sup>By analogy to *openly isotone* one could call this property *closedly antitone*.

and  $g(x) = 1$  elsewhere, so that  $g \in W_\delta$  for each  $\delta > 0$ . Notice that  $f(x) + g(x) \in \{1, 2\}$  for each  $x \in X_{n_F}$ , and since  $X_{n_F} \cap D \neq \emptyset$  for every  $D \in \mathcal{D}$ ,  $(f + g)(D) \cap \{1, 2\} \neq \emptyset$  and thus  $(f + g) \notin [\mathcal{D}, B(0, \varepsilon)]$ .

## 5. Polar topologies

Recall that if  $\Omega \subset V \times W$ , then the  $\Omega$ -polar  $\Omega^*A$  of a subset  $A$  of  $V$  is the greatest subset  $B$  of  $W$  such that  $A \times B \subset \Omega$ . Dual topologies can be represented in terms of polarity.

For every open subset  $O$  of  $\mathbb{R}$  we define a relation  $\Omega_O := \{(x, f) : f(x) \in O\}$ . Accordingly, for each  $A \in C(X, \$^*)$ , the set  $[A, O]$  is the  $\Omega_O$ -polar of  $A$ . Indeed,

$$(5.1) \quad [A, O] = \{f : A \subset f^{-}(O)\} = \Omega_O^*A.$$

On the other hand,  $\Omega_O^*$  is a relation on  $C(X, \$^*) \times C(X, \mathbb{R})$ , namely

$$\Omega_O^* = \{(A, f) : A \subset f^{-}(O)\},$$

so that if  $\mathcal{A}$  is a subset of  $C(X, \$^*)$ , then  $\Omega_O^*\mathcal{A} = \bigcup_{A \in \mathcal{A}} [A, O] = [\mathcal{A}, O]$ . Hence for a filter (base)  $\alpha$  on  $C(X, \$^*)$ , our convention yields

$$\Omega_O^*\alpha \approx \{[\mathcal{A}, O] : \mathcal{A} \in \alpha\}.$$

Finally

$$\mathcal{N}_{\alpha(X, \mathbb{R})}(\tilde{0}) \approx \bigvee_{O \in \mathcal{N}_{\mathbb{R}}(0)} \Omega_O^*\alpha \approx \{[\mathcal{A}, O] : \mathcal{A} \in \alpha, O \in \mathcal{N}_{\mathbb{R}}(0)\}.$$

In case of homogeneity, it is enough to establish a property of  $\mathcal{N}_{\alpha(X, \mathbb{R})}(\tilde{0})$  in order to prove that property for every neighborhood filter of  $C_\alpha(X, \mathbb{R})$  (for  $\alpha = \alpha_{\mathcal{D}}$  with a compact network  $\mathcal{D}$  on a completely regular space  $X$ ).

On the other hand, it follows from Th. 3.1 that the function  $\tilde{0} \in \lim_{\alpha(X, \mathbb{R})} \mathcal{F}$  implies, in particular,  $\tilde{0}^{-}(C) \in \lim_{\alpha(X, \$)} \mathcal{F}^{-}(C)$  for each closed subset  $C$  of  $\mathbb{R}$ . If  $0 \in C$  then  $\tilde{0}^{-}(C) = X$ , hence  $\tilde{0}^{-}(C) \in \lim_{\alpha(X, \$)} \mathcal{F}^{-}(C)$  for every  $\mathcal{F}$ . Hence the only case to consider is that of  $0 \notin C$  that is equivalent to  $\tilde{0}^{-}(C) = \emptyset$ .

This observation implies that properties of  $\mathcal{N}_{\alpha(X, \$)}(\emptyset)$  are intimately related to properties of  $\mathcal{N}_{\alpha(X, \mathbb{R})}(\tilde{0})$ , hence to local properties of  $C_\alpha(X, \mathbb{R})$ , thanks to homogeneity (for  $\alpha = \alpha_{\mathcal{D}}$  with a compact network  $\mathcal{D}$  on a completely regular space  $X$ ). As  $\alpha(X, \$)$  and  $\alpha(X, \$^*)$  are homeomorphic by complementation, the properties of  $\mathcal{N}_{\alpha(X, \$)}(\emptyset)$  and  $\mathcal{N}_{\alpha(X, \$^*)}(X)$  are the same. On the other hand,  $\mathcal{N}_{\alpha(X, \$^*)}(X)$  has a filter subbase  $\alpha$ .

If  $\Gamma \subset X_1 \times \dots \times X_m$  is a relation, then for  $1 \leq k \leq m$ , let  $\Gamma_k : \Gamma \rightarrow X_k$  be the restriction to  $\Gamma$  of the  $k$ -th projection of  $X_1 \times \dots \times X_m$ .

Consider the *fundamental relation*  $\Gamma \subset C(X, \mathbb{R}) \times C(X, \$^*) \times C(\mathbb{R}, \$^*)$  defined by

$$\Gamma := \{(f, A, O) : f \in [A, O]\}.$$

The last component of  $\Gamma$  is valued in (open) subsets of  $\mathbb{R}$ , and not in  $\mathbb{R}$ , because  $\Gamma$  results from a polarity. Therefore, we need to define a filter on  $\mathcal{O}_{\mathbb{R}}(0)$  such that its projection on  $\mathbb{R}$  coincides with  $\mathcal{N}_{\mathbb{R}}(0)$ . A base for such a filter (denoted by  $\tilde{\mathcal{N}}_{\mathbb{R}}(0)$ ) is given by  $\{P \in \mathcal{O}_{\mathbb{R}}(0) : P \subset O\}$  with  $O \in \mathcal{O}_{\mathbb{R}}(0)$ .

**Theorem 5.1.**  $\mathcal{N}_{\alpha(X, \mathbb{R})}(\tilde{0}) \approx \Gamma_1(\Gamma_2^- \alpha \vee \Gamma_3^- \tilde{\mathcal{N}}_{\mathbb{R}}(0))$ .

**Proof.** By definition,  $\Gamma_2^- \mathcal{A} = \{(f, A, O) : f \in [A, O], A \in \mathcal{A}\}$ , and  $\Gamma_3^- O = \{(f, A, O) : f \in [A, O]\}$ , hence  $\Gamma_1(\Gamma_2^- \mathcal{A} \vee \Gamma_3^- O) = [\mathcal{A}, O]$ , so that  $\mathcal{N}_{\alpha(X, \mathbb{R})}(\tilde{0}) = \Gamma_1(\Gamma_2^- \alpha \vee \Gamma_3^- \tilde{\mathcal{N}}_{\mathbb{R}}(0))$ .  $\diamond$

Let  $\Delta$  be the following subset of  $C(X, \$^*) \times C(X, \$^*)$ :

$$\Delta := \{(A, G) : \exists_{\theta \in C(X, [0, 1])} \theta(A) = \{0\}, \theta(X \setminus G) = \{1\}\}.$$

Let  $\Theta : \Delta \rightarrow C(X, [0, 1])$  be such that

$$\Theta(A, G)(A) = \{0\} \text{ and } \Theta(A, G)(X \setminus G) = \{1\}.$$

Denote by  $\Delta_2$  the projection of  $\Delta$  on the second component.

**Theorem 5.2.** *If  $\alpha$  is a compact web, and  $X$  is completely regular, then*

$$\alpha \approx \Delta_2(\Theta^- \mathcal{N}_{\alpha(X, \mathbb{R})}(\tilde{0})).$$

**Proof.** If  $G \in \Delta_2(\Theta^- [\mathcal{A}, (-\frac{1}{n}, \frac{1}{n})])$  then there is an open subset  $D$  of  $X$  such that  $\Theta(D, G) \in [\mathcal{A}, (-\frac{1}{n}, \frac{1}{n})]$ , that is, there  $A \in \mathcal{A}$  such that  $\Theta(D, G)(A) \subset (-\frac{1}{n}, \frac{1}{n})$  hence  $A \subset G$ , and thus  $G \in \mathcal{A}$ . It follows that  $\Delta_2(\Theta^- [\mathcal{A}, (-\frac{1}{n}, \frac{1}{n})]) \subset \mathcal{A}$  for each  $n < \omega$ .

Conversely, if  $G \in \mathcal{A}$  then, by Lemma 4.3, there is  $A \in \mathcal{A}$  such that  $(A, G) \in \Delta$ , thus  $\Theta(A, G)(A) = \{0\}$ ,  $\Theta(A, G)(X \setminus G) = \{1\}$ . Hence  $\Theta(A, G) \in [\mathcal{A}, (-\frac{1}{n}, \frac{1}{n})]$  for every  $n < \omega$ . In other words,  $(A, G) \in \Theta^- [\mathcal{A}, (-\frac{1}{n}, \frac{1}{n})]$  and so  $G \in \Delta_2(\Theta^- [\mathcal{A}, (-\frac{1}{n}, \frac{1}{n})])$ , showing that  $\mathcal{A} \subset \Delta_2(\Theta^- [\mathcal{A}, (-\frac{1}{n}, \frac{1}{n})])$  for every  $n < \omega$ .  $\diamond$

## 6. Transfer of properties

Let  $\mathbb{B}$  be a class of filters. A topology is  $\mathbb{B}$ -based if and only if each neighborhood filter is in  $\mathbb{B}$ . For each class  $\mathbb{B}$ , the  $\mathbb{B}$ -based topologies form a concretely coreflective subcategory of topologies. For example, classes of topologies of a given character, or of a given tightness, can

be represented as those of  $\mathbb{B}$ -based topologies for appropriate classes  $\mathbb{B}$ . Other instances of classes of  $\mathbb{B}$ -based topologies for appropriate classes of filters  $\mathbb{B}$  are sequentiality, Fréchetness, strong Fréchetness, productive Fréchetness, bisequentiality, and others (see, e.g., [4]).

Thms. 5.1 and 5.2 enable us to transfer some such coreflective properties from  $C_\alpha(X, \mathbb{R})$  to  $C_\alpha(X, \$)$  and vice versa.

If  $H \subset X \times Y$ , then  $Hx := \{y \in Y : (x, y) \in H\}$ , and if  $A \subset X$  then  $HA := \bigcup_{x \in A} Hx$ . If now  $\mathcal{F}$  and  $\mathcal{H}$  are families of subsets of  $X$  and  $X \times Y$  respectively, then

$$\mathcal{H}\mathcal{F} := \{HF : F \in \mathcal{F}, H \in \mathcal{H}\}$$

is a family of subsets of  $Y$ . If  $\mathcal{F}$  and  $\mathcal{H}$  are filters, then, by a handy abuse of notation,  $\mathcal{H}\mathcal{F}$  stands also for the filter it generates.

Let  $\mathbb{F}_\lambda$  denote the class of filters admitting a filter base of cardinality less than  $\aleph_\lambda$ . In particular,  $\mathbb{F}_0$  is the class of *principal* filters, and  $\mathbb{F}_1$  is the class of *countably based* filters. The class of all filters is denoted by  $\mathbb{F}$ .

A class  $\mathbb{B}$  of filters is  *$\mathbb{H}$ -composable* if  $\mathcal{H}\mathcal{F} \in \mathbb{B}$  for each  $\mathcal{F} \in \mathbb{B}$  and every  $\mathcal{H} \in \mathbb{H}$  (see [8], [13], [16]). A class  $\mathbb{B}$  of filters is  *$\mathbb{H}$ -steady* if  $\mathcal{H} \vee \mathcal{F} \in \mathbb{B}$  for each  $\mathcal{F} \in \mathbb{B}$  and each  $\mathcal{H} \in \mathbb{H}$  (see [13], [16]).

If  $\mathbb{H}$  is a class of filters and  $\gamma$  is a filter subbase, then  $\gamma \in \mathbb{H}$  means that the filter generated by  $\gamma$  belongs to  $\mathbb{H}$ .

By Th. 5.1,

**Proposition 6.1.** *Let  $\mathbb{B}$  be  $\mathbb{F}_0$ -composable and  $\mathbb{F}_1$ -steady. If  $X$  is completely regular,  $\alpha$  is a compact web, and  $\alpha \in \mathbb{B}$ , then  $C_\alpha(X, \mathbb{R})$  is  $\mathbb{B}$ -based at  $\tilde{0}$ . If moreover  $\mathcal{D}$  is a hereditarily closed compact network, then  $C_{\alpha_{\mathcal{D}}}(X, \mathbb{R})$  is  $\mathbb{B}$ -based.*

**Proof.** If  $\alpha \in \mathbb{B}$  then  $\Gamma_2^- \alpha \in \mathbb{B}$ , because  $\mathbb{B}$  is  $\mathbb{F}_0$ -composable. On the other hand,  $\Gamma_3^- \bar{\mathcal{N}}_{\mathbb{R}}(0)$  is a countably based filter, because  $\mathcal{N}_{\mathbb{R}}(0)$  is countably based. Therefore,  $\Gamma_2^- \alpha \vee \Gamma_3^- \bar{\mathcal{N}}_{\mathbb{R}}(0) \in \mathbb{B}$ , because  $\mathbb{B}$  is  $\mathbb{F}_1$ -steady. Finally,  $\mathcal{N}_{\alpha(X, \mathbb{R})}(\tilde{0}) \in \mathbb{B}$  as the image by a map of a filter from  $\mathbb{B}$ . Therefore  $C_{\alpha_{\mathcal{D}}}(X, \mathbb{R})$  is  $\mathbb{B}$ -based because  $C_{\alpha_{\mathcal{D}}}(X, \mathbb{R})$  is homogeneous by Th. 4.8.  $\diamond$

**Proposition 6.2.** *Let  $\mathbb{B}$  be  $\mathbb{F}_0$ -composable. If  $\alpha$  is a compact web,  $X$  is completely regular, and  $C_\alpha(X, \mathbb{R})$  is  $\mathbb{B}$ -based, then  $\alpha \in \mathbb{B}$ .*

**Proof.** If  $C_\alpha(X, \mathbb{R})$  is  $\mathbb{B}$ -based,  $\mathcal{N}_{\alpha(X, \mathbb{R})}(\tilde{0}) \in \mathbb{B}$ , hence by Th. 5.2,  $\alpha \in \mathbb{B}$ , because  $\mathbb{B}$  is  $\mathbb{F}_0$ -composable.  $\diamond$

**Theorem 6.3.** *Let  $\mathbb{B}$  be  $\mathbb{F}_0$ -composable and  $\mathbb{F}_1$ -steady, and let  $\mathcal{D}$  be a hereditarily closed compact network on a completely regular space  $X$ . Then  $C_{\alpha_{\mathcal{D}}}(X, \mathbb{R})$  is  $\mathbb{B}$ -based if and only if  $\alpha_{\mathcal{D}} \in \mathbb{B}$ .*

F. Jordan established in [13, Th. 3] a special case of Th. 6.3 for  $\alpha = \{\mathcal{O}(D) : D \in [X]^{<\aleph_0}\}$ , hence concerning  $C_p(X, \mathbb{R})$ , in terms of  $\gamma$ -connection (see Rem. 2.3). It is enough to replace in his proofs  $[X]^{<\aleph_0}$  by any (additively stable) family  $\mathcal{D}$  of compact sets, in order that the proofs remain valid for  $\alpha = \{\mathcal{O}(D) : D \in \mathcal{D}\}$  and  $C_{\mathcal{D}}(X, \mathbb{R})$ .

Since  $\alpha$  is a filter subbase of  $\mathcal{N}_{\alpha(X, \$^*)}(X)$ , and  $\alpha(X, \$^*)$  is homeomorphic to  $\alpha(X, \$)$  by complementation, we have

**Corollary 6.4.** *Let  $\mathbb{B}$  be  $\mathbb{F}_0$ -composable and  $\mathbb{F}_1$ -steady, and let  $\mathcal{D}$  be a hereditarily closed compact network on a completely regular space  $X$ . Then  $C_{\alpha_{\mathcal{D}}}(X, \mathbb{R})$  is  $\mathbb{B}$ -based if and only if  $\mathcal{N}_{\alpha_{\mathcal{D}}(X, \$)}(\emptyset) \in \mathbb{B}$ .*

## 7. Transferable properties

We shall review several  $\mathbb{F}_0$ -composable  $\mathbb{F}_1$ -steady classes of filters, in other words, transferable local properties. Several results on composability and steadiness can be found in [13], [16].

We say that a property of topological spaces is *local* if there is a class  $\mathbb{P}$  of filters<sup>4</sup> such that a topology has the property whenever each neighborhood filter belongs to  $\mathbb{P}$ . Character and tightness are examples of local properties.

Two families  $\mathcal{A}$  and  $\mathcal{B}$  of subsets of a given set *mesh* (in symbols,  $\mathcal{A}\#\mathcal{B}$ ) if  $A \cap B \neq \emptyset$  for each  $A \in \mathcal{A}$  and  $B \in \mathcal{B}$ . The *grill*  $\mathcal{A}^\#$  of a family  $\mathcal{A}$  of subsets of  $X$  is defined as  $\{H \subset X : H\#\mathcal{A}\}$ , where  $H\#\mathcal{A}$  is an abbreviation for  $\{H\} \#\mathcal{A}$ . The *character*  $\chi(\mathcal{F})$  of a filter  $\mathcal{F}$  is the least cardinal  $\tau$  such that  $\mathcal{F}$  has a filter base of cardinality  $\tau$ . The *tightness*  $t(\mathcal{F})$  of a filter  $\mathcal{F}$  is the least cardinal  $\tau$  for which if  $A \in \mathcal{F}^\#$  then there is  $B \subset A$  of cardinality  $\tau$  such that  $B \in \mathcal{F}^\#$ . It was proved in [15] that

**Proposition 7.1.** *(Infinite) character and tightness are  $\mathbb{F}_0$ -composable and  $\mathbb{F}_1$ -steady.*

A filter  $\mathcal{F}$  is  $\mathbb{G}$  to  $\mathbb{E}$  *refinable* [14] ( $\mathcal{F} \in (\mathbb{G}/\mathbb{E})_{\geq}$ ) if for each filter  $\mathcal{G} \in \mathbb{G}$  with  $\mathcal{G}\#\mathcal{F}$  there exists a filter  $\mathcal{E} \in \mathbb{E}$  such that  $\mathcal{E} \geq \mathcal{F} \vee \mathcal{G}$ ; a filter  $\mathcal{F}$  is  $\mathbb{G}$  to  $\mathbb{E}$  *me-refinable* [14] ( $\mathcal{F} \in (\mathbb{G}/\mathbb{E})_{\# \geq}$ ) if for each filter  $\mathcal{G} \in \mathbb{G}$  with  $\mathcal{G}\#\mathcal{F}$  there exists a filter  $\mathcal{E} \in \mathbb{E}$  such that  $\mathcal{E} \geq \mathcal{F}$  and  $\mathcal{E}\#\mathcal{G}$ . The following two facts were observed in [14] in special cases of countably based filters.

**Lemma 7.2.** *The property  $(\mathbb{F}_\kappa/\mathbb{F}_\lambda)_{\geq}$  is  $\mathbb{F}_\mu$ -steady if  $\mu \leq \kappa$ .*

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<sup>4</sup>possibly depending on the topology.

**Proof.** Let  $\mathcal{F} \in (\mathbb{F}_\kappa/\mathbb{F}_\lambda)_\geq$ ,  $\mathcal{E} \in \mathbb{F}_\kappa$  and  $\mathcal{D} \in \mathbb{F}_\mu$  be such that  $\mathcal{D}\#(\mathcal{E} \vee \mathcal{F})$ . Then  $(\mathcal{D} \vee \mathcal{E})\#\mathcal{F}$  and  $\mathcal{D} \vee \mathcal{E} \in \mathbb{F}_\kappa$ , because  $\mu \leq \kappa$ ; thus there is  $\mathcal{G} \in \mathbb{F}_\lambda$  such that  $\mathcal{G} \geq \mathcal{D} \vee \mathcal{E} \vee \mathcal{F}$ .  $\diamond$

**Lemma 7.3.** *The property  $(\mathbb{F}_\kappa/\mathbb{F}_\lambda)_\geq$  is  $\mathbb{F}_\mu$ -composable if  $\mu \leq \kappa \wedge \lambda$ .*

**Proof.** If  $\mathcal{F} \in (\mathbb{F}_\kappa/\mathbb{F}_\lambda)_\geq$ ,  $\mathcal{E} \in \mathbb{F}_\kappa$  and  $\mathcal{M} \in \mathbb{F}_\mu$  be such that  $\mathcal{E}\#(\mathcal{M}\mathcal{F})$ . Then  $\mathcal{M}^-\mathcal{E}\#\mathcal{F}$  and  $\mathcal{M}^-\mathcal{E} \in \mathbb{F}_\kappa$  provided that  $\mu \leq \kappa$ . As  $\mathcal{F} \in (\mathbb{F}_\kappa/\mathbb{F}_\lambda)_\geq$  there is  $\mathcal{G} \in \mathbb{F}_\lambda$  such that  $\mathcal{G} \geq \mathcal{M}^-\mathcal{E} \vee \mathcal{F}$ . Thus  $\mathcal{M}\mathcal{G} \geq \mathcal{M}(\mathcal{M}^-\mathcal{E} \vee \mathcal{F}) \geq \mathcal{E} \vee \mathcal{M}\mathcal{F}$  and  $\mathcal{M}\mathcal{G} \in \mathbb{F}_\lambda$  provided that  $\mu \leq \lambda$ .  $\diamond$

Fréchetness, strong Fréchetness, productive Fréchetness and bisequentiality are other examples of local properties that can be expressed in terms of refinable and me-refinable filters with respect to various classes (see [15] and a pioneering paper [5]). A filter  $\mathcal{F}$  is

(1) *Fréchet*  $\iff \mathcal{F} \in (\mathbb{F}_0/\mathbb{F}_1)_\geq$ : A filter  $\mathcal{F}$  is *Fréchet* if for each set  $A$  such that  $A\#\mathcal{F}$  there exists a countably based filter  $\mathcal{E}$  such that  $A \in \mathcal{E} \geq \mathcal{F}$ .

(2) *strongly Fréchet*  $\iff \mathcal{F} \in (\mathbb{F}_1/\mathbb{F}_1)_\geq$ : A filter  $\mathcal{F}$  is *strongly Fréchet* if for each countably filter  $\mathcal{G}$  such that  $\mathcal{G}\#\mathcal{F}$  there exists a countably based filter  $\mathcal{E}$  such that  $\mathcal{E} \geq \mathcal{F} \vee \mathcal{G}$ .

(3) *productively Fréchet*  $\iff \mathcal{F} \in ((\mathbb{F}_1/\mathbb{F}_1)_\geq/\mathbb{F}_1)_\geq$ : A filter  $\mathcal{F}$  is *productively Fréchet* if for each strongly Fréchet filter  $\mathcal{G}$  such that  $\mathcal{G}\#\mathcal{F}$  there exists a countably based filter  $\mathcal{E}$  such that  $\mathcal{E} \geq \mathcal{F} \vee \mathcal{G}$ .

(4) *bisequential*  $\iff \mathcal{F} \in (\mathbb{F}/\mathbb{F}_1)_{\#\geq}$ : A filter  $\mathcal{F}$  is *bisequential* if for each filter  $\mathcal{G}$  such that  $\mathcal{G}\#\mathcal{F}$  there exists a countably based filter  $\mathcal{E}$  such that  $\mathcal{E} \geq \mathcal{F}$  and  $\mathcal{E}\#\mathcal{G}$ .

Of course, in the first three conditions (but not in the fourth) the existence of a countably based filter  $\mathcal{E}$  is equivalent to the existence of a *sequential filter*<sup>5</sup>  $\mathcal{E}$ . All these properties are  $\mathbb{F}_0$ -composable. Not all are  $\mathbb{F}_1$ -steady.

**Proposition 7.4.** *Classes of strongly Fréchet, productively Fréchet and bisequential filters are  $\mathbb{F}_1$ -steady; the class of Fréchet filters is not  $\mathbb{F}_1$ -steady. All the listed properties are  $\mathbb{F}_0$ -composable.*

**Proof.** All the cases are proved in [16] except for bisequential filters. Let  $\mathcal{F}$  be bisequential and  $\mathcal{E} \in \mathbb{F}_1$ . If  $\mathcal{D}$  is any filter such that  $\mathcal{D}\#(\mathcal{E} \vee \mathcal{F})$ , then  $(\mathcal{D} \vee \mathcal{E})\#\mathcal{F}$ , hence there is  $\mathcal{G} \in \mathbb{F}_1$  such that  $\mathcal{G} \geq \mathcal{F}$  and  $\mathcal{G}\#(\mathcal{D} \vee \mathcal{E})$ . The filter  $\mathcal{G} \vee \mathcal{E} \in \mathbb{F}_1$  and  $\mathcal{G} \vee \mathcal{E}$  meshes with  $\mathcal{D}$  and  $\mathcal{G} \vee \mathcal{E} \geq \mathcal{G} \geq \mathcal{F}$ . Let  $\mathcal{F}$  be bisequential and  $A$  a relation. If  $\mathcal{D}$  is a filter such that  $\mathcal{D}\#A\mathcal{F}$ ,

<sup>5</sup>A filter is *sequential* if it is generated by the queues of a sequence.

then  $A^{-}\mathcal{D}\#\mathcal{F}$ , hence there is  $\mathcal{H} \in \mathbb{F}_1$  such that  $\mathcal{H}\#A^{-}\mathcal{D}$  and  $\mathcal{H} \geq \mathcal{F}$ . Thus  $A\mathcal{H}\#\mathcal{D}$  and  $A\mathcal{H} \geq A\mathcal{F}$ .

If  $\mathcal{F}$  is Fréchet but not strongly Fréchet, then there is  $\mathcal{E} \in \mathbb{F}_1$  such that  $\mathcal{G} \geq \mathcal{E} \vee \mathcal{F}$  for no  $\mathcal{G} \in \mathbb{F}_1$ . Hence  $\mathcal{E} \vee \mathcal{F}$  is not Fréchet.  $\diamond$

### 8. Dictionary $X \longleftrightarrow \mathcal{O}_X$

Here there is a list of elementary equivalences that will be used to establish equivalences of more convoluted equivalences between properties of  $C_\alpha(X, \mathcal{S}^*)$  and  $X$ . We consider only those collections  $\alpha$  that are *finitely stable*, that is,  $\mathcal{A}_0, \mathcal{A}_1 \in \alpha$  implies that  $\mathcal{A}_0 \cap \mathcal{A}_1 \in \alpha$ .

Let  $Y \subset X$ . A family  $\mathcal{B}$  of (open) subsets of  $X$  is called an  $\alpha$ -cover of  $Y$  if  $\mathcal{B} \cap \mathcal{A} \neq \emptyset$  for every  $\mathcal{A} \in \alpha$  such that  $Y \in \mathcal{A}$ . In particular, if  $\alpha = \{\mathcal{O}(D) : D \in [X]^{<\aleph_0}\}$ , then an  $\alpha$ -cover is an  $\omega$ -cover, that is, for each finite set  $D$  there is  $B \in \mathcal{B}$  such that  $D \subset B$ .

**Lemma 8.1.** *A family  $\mathcal{B}$  meshes with  $\mathcal{N}_{\alpha(X, \mathcal{S}^*)}(Y)$  if and only if  $\mathcal{B}$  is an  $\alpha$ -cover of  $Y$ .*

**Proof.** A family  $\mathcal{B}$  meshes with  $\mathcal{N}_{\alpha(X, \mathcal{S}^*)}(Y)$  if and only if  $\mathcal{B} \cap \mathcal{A} \neq \emptyset$  for each  $\mathcal{A} \in \alpha$  such that  $Y \in \mathcal{A}$ . This means exactly that  $\mathcal{B}$  is an  $\alpha$ -cover of  $Y$ .  $\diamond$

Let  $\mathcal{A}, \mathcal{B}$  be families of subsets of a given set. We say that  $\mathcal{A}$  is *coarser* than  $\mathcal{B}$  (equivalently,  $\mathcal{B}$  is *finer* than  $\mathcal{A}$ )

$$\mathcal{A} \leq \mathcal{B}$$

if for every  $A \in \mathcal{A}$  there is  $B \in \mathcal{B}$  such that  $B \subset A$ . A collection of families of subsets of  $X$  can be considered as a family of subsets of  $2^X$ . In this sense, we say that a collection is *finer* (*coarser*) than another collection. The following facts are just rewording of definitions, but we formulate them as lemmas for easy reference.

**Lemma 8.2.** *A collection  $\gamma$  is finer than  $\mathcal{N}_{\alpha(X, \mathcal{S}^*)}(Y)$  if and only if for each  $\mathcal{A} \in \alpha$  such that  $Y \in \mathcal{A}$  there is  $\mathcal{G} \in \gamma$  such that  $\mathcal{G} \subset \mathcal{A}$ .*

**Lemma 8.3.** *A collection  $\gamma$  is coarser than  $\mathcal{N}_{\alpha(X, \mathcal{S}^*)}(Y)$  if and only if for each  $\mathcal{G} \in \gamma$  there is  $\mathcal{A} \in \alpha$  such that  $Y \in \mathcal{A} \subset \mathcal{G}$ .*

In particular, a sequence  $(G_n)_n$ , that is, a family  $\gamma := \{\{G_n : n \geq m\} : m < \omega\}$  is finer than  $\mathcal{N}_{\alpha(X, \mathcal{S}^*)}(Y)$  if for every  $\mathcal{A} \in \alpha$  with  $Y \in \mathcal{A}$  there is  $n_{\mathcal{A}} < \omega$  such that  $G_n \in \mathcal{A}$  for each  $n \geq n_{\mathcal{A}}$ .

## 8.1. Tightness

Recall that (see e.g., [17]) the  $\alpha$ -Lindelöf number of a topological space  $X$  is the least cardinal  $\tau$  such that for each  $\alpha$ -cover there exists an  $\alpha$ -subcover of cardinality less than or equal to  $\tau$ .<sup>6</sup>

By Lemma 8.1,<sup>7</sup>

**Theorem 8.4.** *The tightness of  $C_\alpha(X, \$)$  is equal to the supremum of the  $\alpha$ -Lindelöf numbers of open subsets of  $X$ .*

Hence, by Cor. 3.2 and Th. 6.3,

**Theorem 8.5.** *If  $\alpha$  is a compact web on a completely regular space  $X$ , then  $C_\alpha(X, \mathbb{R})$  is  $\tau$ -tight if and only if the  $\alpha$ -Lindelöf number of  $X$  is  $\tau$ .*

These facts specialize, in an obvious way, to *compact-open* topologies  $C_k(X, Z)$ , when  $\alpha = \{\mathcal{O}(K) : K \in \mathcal{K}\}$  where  $\mathcal{K}$  is the family of compact subsets of  $X$ , to *Isbell* topologies  $C_\kappa(X, Z)$ , when  $\alpha = \kappa(X)$  is the collection of compact families. If  $\alpha = \{\mathcal{O}_X(D) : D \in [X]^{<\aleph_0}\}$  then Th. 8.5 specializes with  $\tau = \aleph_0$  to

**Proposition 8.6.** *If  $X$  is completely regular, then  $C_p(X, \mathbb{R})$  is countably tight if and only if each open  $\omega$ -cover of  $X$  has a countable  $\omega$ -subcover of  $X$ .*

Recall that a family  $\mathcal{P}$  is an  $\omega$ -cover of  $X$  if for each finite subset  $F$  of  $X$  there is  $P \in \mathcal{P}$  such that  $F \subset P$ .

The following theorem is due to Arhangel'skii [1] and Pytkeev [19]:

**Theorem 8.7.** *If  $X$  is completely regular, then  $C_p(X, \mathbb{R})$  is countably tight if and only if  $X^n$  is Lindelöf for every  $n < \omega$ .*

## 8.2. Character

A subset  $\gamma$  of a collection  $\alpha$  (of openly isotone families) is a *base* of  $\alpha$  if for each  $\mathcal{A} \in \alpha$  there is  $\mathcal{G} \in \gamma$  such that  $\mathcal{G} \subset \mathcal{A}$ . The least cardinality  $\tau$  such  $\alpha$  has a base of cardinality  $\tau$  is called the *character*  $\chi(\alpha)$  of  $\alpha$ .

<sup>6</sup>More generally, if  $\kappa \leq \lambda$  are cardinals, then we say that  $X$  is  $\lambda/\kappa[\alpha]$ -compact if for every open  $\alpha$ -cover of  $X$  of cardinality  $< \lambda$  there is an  $\alpha$ -subcover of cardinality  $< \kappa$  of  $X$ . In particular, a topological space is  $[\alpha]$ -compact if it is  $\aleph_0/\aleph_0[\alpha]$ -compact for each cardinal  $\lambda$ , *countably*  $[\alpha]$ -compact if it is  $\aleph_1/\aleph_0[\alpha]$ -compact,  $[\alpha]$ -Lindelöf if it is  $\aleph/\aleph_1[\alpha]$ -compact for every  $\lambda$ .

<sup>7</sup>Similar characterizations can be formulated for  $\lambda/\kappa$ -tightness with  $\kappa \geq \aleph_0$ . We say that a filter  $\mathcal{F}$  is  $\lambda/\kappa$ -tight if for each  $H \in \mathcal{F}^\#$  with  $\text{card } H < \lambda$  there is  $B \subset H$  such that  $\text{card } B < \kappa$  and  $B \in \mathcal{F}^\#$ . A topological space is  $\lambda/\kappa$ -tight if its every neighborhood filter is  $\lambda/\kappa$ -tight.



Because the character of  $\alpha$  is a hereditary property, Lemma 8.2 implies that

**Theorem 8.8.** *The character of  $C_\alpha(X, \$)$  is equal to the character of  $\alpha$ .*

It follows from Cor. 3.3 and Prop. 6.2 that

**Theorem 8.9.** *If  $\alpha$  is a compact web on a completely regular space  $X$ , then the character of  $C_\alpha(X, \mathbb{R})$  is equal to the character of  $\alpha$ .*

**Corollary 8.10.** *If  $X$  is  $T_1$ , then  $C_p(X, \$)$  is of countable character if and only if  $X$  is countable.*

**Proof.** By Th. 8.8, the character of  $C_p(X, \$)$  is countable, if and only if for every open subset  $Y$  of  $X$  there is a sequence  $(x_n)_n \subset Y$  such that  $\{\mathcal{O}_X(\{x_1, \dots, x_n\}) : n < \omega\}$  is finer than  $\{\mathcal{O}_X(F) : F \in [X]^{<\aleph_0}\}$ , that is, for every finite subset  $F$  of  $Y$  there is  $n < \omega$  such that  $\{x_1, \dots, x_n\} \subset O$  implies  $F \subset O$  for each open set  $O$ . Since  $X$  is  $T_1$ , this means that  $F \subset \{x_1, \dots, x_n\}$ .  $\diamond$

**Corollary 8.11.** *If  $X$  is  $T_1$ , then  $C_k(X, \$)$  is of countable character if and only if  $X$  is hereditarily hemicompact.*

**Proof.** Let  $Y$  be an open subset of  $X$ . The neighborhood filter  $\mathcal{N}_{\mathcal{K}(X, \$^*)}(Y)$  is countably based if and only if there exists a sequence  $(K_n)_n$  of compact subsets of  $Y$  such that for every  $K \in \mathcal{K}_Y$  there exists  $n$  such that  $\mathcal{O}_X(K_n) \subset \mathcal{O}_X(K)$ , which, for a  $T_1$ -topology, is equivalent  $K \subset K_n$ .  $\diamond$

It is well-known that a (Hausdorff) topological vector space is metrizable if and only if it is of countable character. Therefore, we recover [17, p. 60]

**Corollary 8.12.** *If  $X$  is completely regular, then  $C_p(X, \mathbb{R})$  is metrizable if and only if it is of countable character if and only if  $X$  is countable.*

**Corollary 8.13.** *If  $X$  is completely regular, then  $C_k(X, \mathbb{R})$  is metrizable if and only if it is of countable character if and only if  $X$  is hemicompact.*

### 8.3. Variants of Fréchetness

Here we characterize some of the properties  $(\mathbb{H}/\mathbb{E})_{\geq}$  of hyperspaces in terms of their underlying spaces.

**Proposition 8.14.**  *$C_\alpha(X, \$)$  is  $(\mathbb{F}_\kappa/\mathbb{F}_\lambda)_{\geq}$ -based if and only if  $X$  enjoys the following property: For each open subset  $Y$  of  $X$ , for every collection  $\gamma$  of  $\alpha$ -covers of  $Y$  with  $\text{card}(\gamma) \leq \aleph_\kappa$ , there exists a collection  $\zeta$  of families of open sets with  $\text{card}(\zeta) \leq \aleph_\lambda$  such that for every  $\mathcal{A} \in \alpha$  with  $Y \in \mathcal{A}$ , and each  $\mathcal{G} \in \gamma$  there exists  $\mathcal{Z} \in \zeta$  such that  $\mathcal{Z} \subset \mathcal{A} \cap \mathcal{G}$ .*

As we have observed in preliminary considerations, the property exhibited in the proposition above is necessarily hereditary for open sets. The class  $(\mathbb{F}_0/\mathbb{F}_1)_\geq$  is that of *Fréchet filters*, and  $(\mathbb{F}_1/\mathbb{F}_1)_\geq$  that of *strongly Fréchet filters*. In the following corollaries we will use sequence characterizations of these properties: a filter  $\mathcal{F}$  is *Fréchet* if for each  $H \in \mathcal{F}^\#$  there is a sequence  $(x_n)_n \subset H$  that is finer than  $\mathcal{F}$ ; a filter  $\mathcal{F}$  is *strongly Fréchet* if for each decreasing sequence  $(H_n)_n$  such that  $H_n \in \mathcal{F}^\#$  for each  $n$ , there is a sequence  $(x_n)_n$  finer than  $\mathcal{F}$  and such that  $x_n \in H_n$  for each  $n$ . Prop. 8.14 specializes as follows:

**Corollary 8.15.**  $C_\alpha(X, \$)$  is Fréchet at  $X_0 \in C(X, \$)$  if and only if for each family  $\mathcal{G}$  of open sets such that  $\mathcal{G} \cap \mathcal{A} \neq \emptyset$  for each  $X \setminus X_0 \in \mathcal{A} \in \alpha$ , there exists a sequence  $(G_n)_n \subset \mathcal{G}$  such that for each  $X \setminus X_0 \in \mathcal{A} \in \alpha$ , there is  $n_{\mathcal{A}} < \omega$ , for which  $G_n \in \mathcal{A}$  for every  $n \geq n_{\mathcal{A}}$ .

**Corollary 8.16.**  $C_\alpha(X, \$)$  is strongly Fréchet at  $X_0 \in C(X, \$)$  if and only if for each decreasing sequence  $(\mathcal{G}_n)_n$  of families of open sets such that  $\mathcal{G}_n \cap \mathcal{A} \neq \emptyset$  for each  $X \setminus X_0 \in \mathcal{A} \in \alpha$ , there exists a sequence  $(G_n)_n$  with  $G_n \in \mathcal{G}_n$  such that for each  $X \setminus X_0 \in \mathcal{A} \in \alpha$ , there is  $n_{\mathcal{A}} < \omega$ , for which  $G_n \in \mathcal{A}$  for every  $n \geq n_{\mathcal{A}}$ .

Of course, the sequence  $(G_n)_n$  fulfills the condition above if and only if it converges to  $X \setminus X_0$  in  $C_\alpha(X, \$^*)$ . In the case of  $\alpha = \alpha_{\mathcal{D}}$ , where  $\mathcal{D} = [X]^{<\aleph_0}$ , it is equivalent to  $X \setminus X_0 \subset \underline{\text{Lim}}_n G_n := \bigcup_{n < \omega} \bigcap_{k > n} G_k$  (the set-theoretic lower limit). In particular, for  $X_0 = \emptyset$  the condition above is the condition  $(\gamma)$  of Gerlits and Nagy [10]: if  $\mathcal{G}$  is an open  $\omega$ -cover of  $X$ , then there is a sequence  $G_n \in \mathcal{G}$  with  $\underline{\text{Lim}}_n G_n = X$ .

As we have seen in Prop. 7.4, Fréchetness is not  $\mathbb{F}_1$ -steady. Nevertheless, it is known that a Fréchet topological group is strongly Fréchet (see [18]). Therefore

**Theorem 8.17.** If  $\mathcal{D}$  is a compact network on a completely regular space  $X$ , then  $C_{\alpha_{\mathcal{D}}}(X, \mathbb{R})$  is Fréchet if and only if it is strongly Fréchet if and only if for every  $\mathcal{D}$ -cover  $\mathcal{P}$  of  $X$  there is a sequence  $(P_n)_n \subset \mathcal{P}$  such that for each  $D \in \mathcal{D}$  there is  $n_D < \omega$  such that  $P_n \in \mathcal{A}$  for each  $n \geq n_D$ .

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