

ON A PROBLEM OF A. IVIĆ

Imre **Kátai**

*Eötvös Loránd University, Department of Computer Algebra, and
Research Group of Applied Number Theory of the Hungarian Acad-
emy of Sciences, Pázmány Péter sétány 1/C, H-1117 Budapest,
Hungary*

Dedicated to the memory of Professor N. M. Timofeev

Received: January 2007

MSC 2000: 11 N 37, 11 N 25, 11 N 69

Keywords: Number of divisors, problem of Ivić.

Abstract: It is proved that

$$\sum_{n \leq x} \tau(n + \tau(n)) = Dx \log x + O\left(\frac{x(\log x)}{\log \log x}\right)$$

with positive constants $D > 0$, $\delta > 0$, where $\tau(m)$ is the number of divisors of m .

1. As usual, $\tau(n)$, $\omega(n)$, $\Omega(n)$ denote the number of divisors, the number of distinct prime factors, the number of prime factors with multiplicity of n .

Let furthermore $\varphi(n)$ be Euler's totient function. For the sake of simplicity we shall write $x_1 := \log x$; $x_2 := \log x_1$; $x_3 := \log x_2$.

A. Ivić [1] formulated the conjecture that

$$(1.1) \quad D(x) := \sum_{n \leq x} \tau(n + \tau(n)) = Dxx_1 + O(x).$$

We can deduce the somewhat weaker assertion, namely that

E-mail address: katai@compalg.inf.elte.hu
Financially supported by the grant T46993 of OTKA.

$$D(x) = Dxx_1 + O\left(\frac{xx_1}{x_2}\right),$$

by using two theorems of N. M. Timofeev and M. B. Khripunova [2], which are analogons of the Vinogradov–Bombieri theorem and the Brun–Titchmarsh inequality. We shall formulate them as Lemmas 1, 2.

In [2] they proved the asymptotic of $\sum_{n < x} \tau(n + a)$ where n runs over the integers with $\Omega(n) = k$, uniformly as $k \leq (2 - \varepsilon)x_2$, $a = 1$. In [4] they proved the asymptotic of

$$\sum_{\substack{n < N \\ \Omega(n) = k}} \tau(N - n)$$

uniformly as $k \leq (2 - \varepsilon) \log \log N$, or $(2 + \varepsilon) \log \log N < k < b \log \log N$.

2. Let $t \geq 2$, $P(t) = \prod_{p < t} p$, p runs over the set of primes. In what follows, $\varepsilon, \varepsilon_1, \varepsilon_2, \dots$ are arbitrarily small positive numbers.

Denote

$$\mu(x, k, t, a, d) = \#\{n \mid n \leq x, \Omega(n) = k, (n, P(t)) = 1, n \equiv a \pmod{d}\}.$$

Lemma 1. Let $2 \leq t \leq \sqrt{x}$, $k \leq x_2^2$, and let

$$\begin{aligned} \Delta_k(t) = & \sum_{d \leq Q} \max_{y \leq x} \max_{(a, d) = 1} \left| \mu(y, k, t, a, d) - \right. \\ & \left. - \frac{1}{\varphi(d)} \#\{n \mid n \leq y, \Omega(n) = k, (n, dP(t)) = 1\} \right|. \end{aligned}$$

Then

$$\Delta_k(t) \ll Q\sqrt{x} \exp(x_2^{2+\varepsilon}) + \frac{x}{x_1^B},$$

where $\varepsilon > 0$ and B is an arbitrary positive constant.

Lemma 2. Suppose $k \leq (2 - \varepsilon)x_2$, $0 < \varepsilon < 1$, $d \leq x^{\frac{1}{2} + \alpha(k)}$, $2 \leq t \leq x^{\beta(k)}$, $\alpha(k) = 1/3k$, and $\beta(k) = \frac{1}{10} \exp(-k/2)$. Then there exists a constant $c(\varepsilon, \varepsilon_1)$ such that

$$\mu(x, k, t, a, d) \leq c(\varepsilon, \varepsilon_1) \frac{x}{\varphi(d)x_1} (1 + \varepsilon_1)^k \frac{\left(\log \frac{x_1}{\log t}\right)^{k-1}}{(k-1)!}$$

where $0 < \varepsilon_1 < 1$.

Lemma 3. *Let $z > 0$, $1 < \beta$, $Q(y) := y \log \frac{y}{e} + 1$. Then*

$$\sum_{k \geq \beta z} \frac{e^{-z} \cdot z^k}{k!} < \frac{\sqrt{\beta} e^{-Q(\beta)z}}{(\beta - 1)\sqrt{2\pi}}.$$

The proof can be found in [3]. Here the authors proved also that

$$\#\{n \leq x \mid \omega(n) = k\} \leq \frac{c_0 x (x_2 + c)^{k-1}}{x_1 (k-1)!} \quad (x \geq 3, k \geq 1)$$

which is called as Hardy–Ramanujan inequality.

3. Let $(a, d) = 1$,

$$(3.1) \quad S(y; l, K, a, d) := \sum_{\substack{n \leq y \\ \omega(n)=l \\ (n, K)=1 \\ n \equiv a \pmod{d}}} |\mu(n)|.$$

Let χ be a Dirichlet-character mod d . Since

$$\prod_{(p, K)=1} \left(1 + \frac{z\chi(p)}{p^s}\right) = \prod_{(p, K)=1} \frac{1}{1 - \frac{z\chi(p)}{p^s}} \cdot \prod_{(p, K)=1} \left(1 - \frac{z^2\chi^2(p)}{p^{2s}}\right)$$

holds for $z \in \mathbb{C}$, $|z| \leq 1$, therefore

$$(3.2)_\chi \quad \sum_{\substack{n \leq x \\ \omega(n)=l \\ (n, K)=1}} \chi(n) |\mu(n)| = \sum_{\substack{mr^2 \leq x \\ (mr, K)=1 \\ \Omega(m)+2\omega(r)=l}} (-1)^{\omega(r)} |\mu(r)| \chi(m) \chi^2(r).$$

Thus, counting $\frac{1}{\varphi(d)} \cdot \sum_{\chi} (3.2)_\chi$, we obtain

$$(3.3) \quad S[y; l, K, a, d] = \sum_{\substack{r^2 \leq y \\ (r, Kd)=1}} (-1)^{\omega(r)} |\mu(r)| \cdot \mu\left(\frac{y}{r^2}, l - 2\omega(r), 2, b_a, d\right),$$

where $b_a \pmod{d}$ is defined by $r^2 b_a \equiv a \pmod{d}$.

Let

$$(3.4) \quad T(y; l, K, d) := \sum_{\substack{n \leq y \\ \omega(n)=l \\ (n, Kd)=1}} |\mu(n)| = \sum_{(a,d)=1} S[y; l, K, a, d].$$

It is clear that

$$(3.5) \quad \begin{aligned} & \left| S[y; l, K, a, d] - \frac{1}{\varphi(d)} T(y; l, K, d) \right| \leq \\ & \leq \sum_{\substack{r^2 \leq y \\ (r, Kd)=1}} |\mu(r)| \left| \mu\left(\frac{y}{r^2}, l - 2\omega(r), 2, b_a, d\right) - \right. \\ & \quad \left. - \frac{1}{\varphi(d)} \# \left\{ n \leq \frac{y}{r^2}, \Omega(n) = l - 2\omega(r), (n, d) = 1 \right\} \right|. \end{aligned}$$

Furthermore, from (3.4) and (3.3) we deduce that

$$(3.6) \quad \begin{aligned} & T(y; l, K, d) = \\ & = \sum_{\substack{r^2 \leq y \\ (r, Kd)=1}} (-1)^{\omega(r)} |\mu(r)| \# \left\{ n \leq \frac{y}{r^2} \mid \Omega(n) = l - 2\omega(r), (n, Kd) = 1 \right\}. \end{aligned}$$

4. Theorem. *We have*

$$(4.1) \quad D(x) = Dxx_1 + O\left(\frac{xx_1}{x_2}\right).$$

Proof. Let us write every n in the form $n = Km$, where K is square-full, m is square-free and $(K, m) = 1$. We say that K is the square-full and m is the square-free part of n .

If $n = Km$, $\omega(m) = t$, then $\tau(n + \tau(n)) = \tau(Km + \tau(K) \cdot 2^t)$.

Let

$$(4.2) \quad E_{K,t}(x) = \sum_{\substack{m \leq x/K \\ \omega(m)=t}} \tau(Km + \tau(K) \cdot 2^t),$$

where in the summation we assume furthermore that $(m, K) = 1$, m is square-free.

It is clear that

$$(4.3) \quad D(x) = \sum_{K \leq x} \sum_{t=0}^{\infty} E_{K,t}(x),$$

where K runs over the square-full integers.

We shall prove that

$$(4.4) \quad \sum_{K \geq x_1^4} \sum_t E_{K,t}(x) + \sum_{K \leq x_1^4} \sum_{t \geq \beta x_2} E_{K,t}(x) \ll x \cdot x_1^{1-\delta},$$

where β is an arbitrary constant larger than $1/\log 2$.

Since $\tau(n) = O(n^\varepsilon)$, therefore

$$\sum_{K \geq x^{1/4}} \sum_t E_{K,t}(x) = O(x^{1/4}).$$

Let $K \leq x^{1/4}$. By using the Hölder inequality

$$(4.5) \quad \begin{aligned} E_{K,t}(x) &\leq \left(\sum_{\substack{m \leq x/K \\ \omega(m)=t}} 1 \right)^{1/2} \left\{ \sum_{m \leq x/K} \tau^2(Km + \tau(K) \cdot 2^t) \right\}^{1/2} \ll \\ &\ll \left(\frac{x}{Kx_1} \right)^{1/2} \left(\frac{(x_2 + c)^{t-1}}{(t-1)!} \right)^{1/2} \cdot \left(\frac{x}{K} x_1^3 \right)^{1/2} = \\ &= \frac{x}{K} x_1 \cdot \frac{(x_2 + c)^{(t-1)/2}}{(t-1)!^{1/2}}. \end{aligned}$$

Here we used the Hardy–Ramanujan inequality and the known inequality

$$\max_{t \leq x_1} \sum_{m \leq x/K} \tau^2(Km + \tau(K) \cdot 2^t) \leq \frac{cx}{K} x_1^3,$$

where c does not depend on K and t .

Since

$$\sum_{t \geq 0} \frac{(x_2 + c)^{(t-1)/2}}{(t-1)!^{1/2}} \ll x_1^{1/2} \cdot x_2^{1/4} \ll x_1,$$

we obtain that

$$(4.6) \quad \sum_{K \geq x_1^4} \sum_t E_{K,t}(x) = O(x).$$

Let $K \geq x_1^4$. From (4.5) we obtain that

$$(4.7) \quad \sum_{t \geq \alpha x_2} E_{K,t}(x) \leq \frac{cx_1}{K} \sum_{t \geq \alpha x_2} \frac{(x_2 + c)^{\frac{t-1}{2}}}{(t-1)!^{1/2}} \ll \frac{x}{K} \cdot \frac{1}{x_1^2},$$

if α is large enough.

Let $\beta > 1/\log 2$. We shall estimate $\sum_{\beta x_2 \leq t \leq \alpha x_2} E_{K,t}(x)$.

$$(4.8) \quad \text{If } \omega(m) = t \geq \beta x_2, \text{ then } \tau(m) = 2^t \geq 2^{\beta x_2}, \text{ consequently}$$

$$\sum_{\beta x_2 \leq t \leq \alpha x_2} E_{K,t}(x) \leq 2^{-\beta x_2} \sum_{\beta x_2 \leq t \leq \alpha x_2} \sum_{m \leq x/K} \tau(m) \tau(Km + 2^t \tau(K)).$$

One can prove elementarily that the inner sum on the right-hand side of (4.8) is less than $\ll \frac{x}{K} x_1^2$, consequently the left-hand side of (4.8) is less than $\ll \frac{x}{K} x_1^{2-\beta \log 2} \cdot x_2$.

We proved (4.4). Thus

$$(4.9) \quad D(x) = \sum_{K \leq x_1^4} \sum_{t < \beta x_2} E_{K,t}(x) + O(x \cdot x_1^{1-\delta})$$

with a suitable $\delta > 0$, if $\beta > \frac{1}{\log 2}$.

Observing that

$$\sum_{t \leq \beta x_2} \sum_{m \leq \frac{x}{K}} \tau(Km + \tau(K) \cdot 2^t) \ll \frac{x}{K} \cdot x_1 \cdot x_2,$$

if $K \leq x_1^4$, and that $\sum_{K > y} 1/K \ll \frac{1}{\sqrt{y}}$, therefore

$$D(x) = \sum_{K \leq x_2^4} \sum_{t \leq \beta x_2} E_{K,t}(x) + O\left(\frac{xx_1}{x_2}\right).$$

Finally it remained to give the asymptotic of $E_{K,t}(x)$ under the condition $K \leq x_2^4$, $t \leq \beta x_2$. This is the number of solutions of

$$Km + \tau(K) \cdot 2^t = uv \leq x + \tau(K) \cdot 2^t,$$

where u, v run over the positive integers, m over the square-free integers coprime to K , and with $\omega(m) = t$. If we multiply the number of solutions by 2, we can assume that $u < v$. The contribution of the solutions with $u = v$ can be ignored.

Let $Q := \sqrt{x} \cdot e^{-x_1^{1-2\varepsilon}}$, where $\varepsilon > 0$ is the same as in Lemma 1.

Let us overestimate first
(4.10)_u

$$\sum_{t \leq \beta x_2} \# \left\{ m \leq \frac{x}{K} \mid Km + \tau(K) \cdot 2^t \equiv O(\text{mod } u), \Omega(m) = t \right\}.$$

By using Lemma 2 (substituting $t = 2$ defined there), we deduce that (4.10)_u is less than

$$\begin{aligned} \frac{cx}{K\varphi(u)x_1} \sum_{t \leq \beta x_2} \frac{(1 + \varepsilon_1)^{t-1} \cdot x_2^{t-1}}{(t-1)!} &\leq \frac{cx}{K\varphi(u)x_1} \exp((1 + \varepsilon_1)x_2) = \\ &= \frac{cx}{K\varphi(u)} x_1^{\varepsilon_1}. \end{aligned}$$

Furthermore

$$\sum_{Q \leq u \leq \sqrt{2x_2}} \frac{1}{\varphi(u)} \ll \log \frac{\sqrt{2x_2}}{Q} \ll x_1^{1-2\varepsilon}.$$

Since $\varepsilon = \varepsilon_1$ can be chosen, we obtain that
(4.11)

$$\begin{aligned} D(x) &= \sum_{K \leq x_2^4} \sum_{t \leq \beta x_2} \sum_{u \leq Q} \# \left\{ m \leq \frac{x}{K} \mid Km + \tau(K) \cdot 2^t \equiv 0(\text{mod } u), \right. \\ &\quad \left. \omega(m) = t, (K, m) = 1, \mu(m) \neq 0 \right\} - \\ &\quad - \sum_{K \leq x_2^4} \sum_{t \leq \beta x_2} \# \left\{ \frac{m \leq u^2 - \tau(K) \cdot 2^t}{K} \mid Km + \tau(K) \cdot 2^t \equiv 0(\text{mod } u), \right. \\ &\quad \left. \omega(m) = t, (K, m) = 1, \mu(m) \neq 0 \right\} + O\left(\frac{xx_1}{x_2}\right). \end{aligned}$$

Now we can apply (3.5), (3.6) and Lemma 1 in the usual way. We obtain our theorem quite directly. We omit the details. \diamond

5. The following assertion can be proved similarly:

$$\sum_{n \leq x} \tau(n + f(n)) = D_f x \log x + O\left(\frac{x(\log x)}{\log \log x}\right)$$

with some constants $D_f > 0$, $\delta > 0$ if $f(n) = \omega(n)$, $\Omega(n)$, $\tau(\tau(n))$, $2^{\omega(n)}$, $\tau_k(n)$, where $\tau_k(n)$ is the number of solutions of $n = u_1 \dots u_k$ in positive integers u_1, \dots, u_k . Similar theorems can be proved if we substitute $\tau(m)$ by $2^{\omega(m)}$.

References

- [1] IVIĆ, A.: An asymptotic formula..., *Univ. Beograd Publ. Elektrotech. Fak. Ser. Mat.* **3** (1992), 61–62.
- [2] TIMOFEEV, N. M. and KHRIPUNOVA, M. V.: Distribution of numbers having a given number of prime divisors in progressions, *Mat. Zametki [Math. Notes]* **55/2** (1994), 144–156.
- [3] HARDY, G. H. and RAMANUJAN, S.: The normal number of prime factors of a number n , *Quart. J. Math.* **48** (1917), 76–92.
- [4] TIMOFEEV, N. M. and KHRIPUNOVA, M. B.: The Titchmarsh problem with integers having a given number of prime divisors, *Mat. Zametki [Math. Notes]* **59/4** (1996), 421–434.